

Standards, Compliance, and Enforcement Bulletin

April 22–28, 2024

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Draft 1 PRC-024-4 and PRC-029-1	Initial Ballots and Non-Binding Polls	04/12/24	04/22/24
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Draft 1	Initial Ballots and Non-Binding Poll	04/24/24	05/03/24
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Additional Ballots and Non-Binding Poll	05/07/24	05/16/24

Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Draft 1 PRC-024-4 and PRC-029-1	Comment Period	03/28/24	04/22/24
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Draft 1	Comment Period	03/20/24	05/03/24
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Comment Period	04/02/24	05/16/24
Regional Reliability Standard BAL-004-WECC-4	Comment Period	04/10/24	05/28/24

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft SAR: The NERC SPIDERWG has identified a SAR based on the approved White Paper: NERC Reliability Standards Review. The SPIDERWG has also provided a development plan for the associated SARs to the RSTC Executive Committee for addition into the SPIDERWG work plan. It is important to accurately represent the aggregate DER levels and allocate their connection points to the BPS. Failure to do so may adversely affect the outcomes of the Transmission Operator’s (TOP) Operational Planning Analysis (OPAs) and Real-Time Assessment (RTAs). The OPAs and RTAs provide the TOP with proper situational awareness of the operational risks to the system. Therefore, the definitions of OPA and RTA in the NERC Glossary of Terms need to be revised to include aggregate DERs as a component of both the OPA and RTA definitions. The assessments of OPAs and RTAs must, at a minimum, include inputs of “load,” “load forecast,” and “generation output levels.” As the penetration of DERs increases, net load quantities, BPS generation output, and their forecast levels are affected since DERs offset net loading. This affects the amount of on-line BPS generation to serve the remaining load and may mask ride-through considerations. Failure to account for the steady-state and</p>	Submit comments via email using the comment form .	03/25/24	04/24/24

<p>dynamic behavior of DERs will likely have an increasingly adverse impact on the quality of OPAs and RTAs in the future. Therefore, this project aims to ensure clarity for current and future BPS operating states.</p> <p>The Reliability and Security Technical Committee (RSTC) approved posting the draft SAR for a 30-day industry comment period. A final version of the draft SAR will be submitted to NERC Standards Development, consistent with the Standards Development Process, to begin the process for developing, modifying, withdrawing or retired a Reliability Standard.</p>			
<p>Security Guideline Retirement Survey Now Available: The Security Working Group (SWG) has reviewed three existing Security Guidelines to determine if the Security Guidelines should be updated or retired. Internal SWG results favored the retirement of the three Security Guidelines (Control Systems Electronic Connectivity, Physical Security Considerations at High Impact Control Centers, and Physical Security Response). Per Reliability Security and Technical Committee (RSTC) process, this survey is being sent to industry for a 45-day comment period to validate the SWG’s internal finding that the three Security Guidelines listed below should be retired. Should they be retired, they will remain accessible on the RSTC Approved Documents Page but will be moved to the “Retired” section.</p> <p>Technology has continually evolved since these guidelines were published. It is essential that Security Guidelines be complete, accurate, impartial, and current. Thus, the industry is being asked to review these Security Guidelines and recommend the next steps by completing this survey. Any guideline(s) without strong support for an update will be moved to “Retired” status. If a guideline receives <i>no responses</i> from this inquiry, it will also be moved to “Retired” status.</p>	<p>Please respond to the survey here.</p>	<p>04/10/24</p>	<p>05/25/24</p>

STANDARDS NEWS

NEW Webinar Resources Posted

NERC posted the:

- [Slide presentation](#) and [recording](#) from the April 12, 2024 Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather Industry Webinar; and the
- [Slide presentation](#) and [recording](#) from the April 15, 2024 Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Industry Webinar.

NEW Initial Ballot and Non-Binding Poll Results for Project 2023-02 – Analysis and Mitigation of BES Inverter-Based Resource Performance Issues

Initial ballots for draft one of PRC-030-1 Unexpected Inverter-Based Resource Event Mitigation and non-binding poll of the Associated Violation Risk Factors and Violation Severity Levels concluded **April 18, 2024**. Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results. The drafting team will review all responses received during the comment period and determine the next steps of the project.

	Ballot	Non-binding Poll
Standard	Quorum / Approval	Quorum / Supportive Opinions
PRC-030-1	92.78% / 21.19%	90.08% / 13.11%
Implementation Plan	92.81% / 30.6%	N/A

NEW Additional Ballots and Non-Binding Poll Results for Project 2023-03 – Internal Network Security Monitoring

Additional ballots for Project 2023-03 – Internal Network Security Monitoring and non-binding poll of the associated Violation Risk Factors and Violation Severity Levels concluded **April 17, 2024** for CIP-015-1 – Cyber Security – Internal Network Security Monitoring and its Implementation Plan. The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page. The drafting team will review all responses received during the comment period and determine the next steps of the project.

Quick Links

- [Register in the SBS](#)
- [Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2023-2025 Reliability Standards Development Plan \(Filed With FERC\)](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

	Ballot	Non-binding Poll
Standard	Quorum / Approval	Quorum / Supportive Opinions
CIP-015-1	90.63% / 76.78%	88.26% / 79.56%
Implementation Plan	90.55% / 80.69%	N/A

NEW Final Ballot Results for Project 2016-02 – Modifications to CIP Standards | Virtualization

Final ballots for the CIP Virtualization standards concluded **April 12, 2024**. The standards will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities.

Final Ballot Results	
Standard	Quorum / Approval
CIP-002-7	84.62% / 94.37%
CIP-003-10	85.57% / 93.63%
CIP-004-8	85.81% / 86.30%
CIP-005-8	85.81% / 75.73%
CIP-006-7	83.84% / 94.70%
CIP-007-7	85.81% / 90.24%
CIP-008-7	83.84% / 96.05%
CIP-009-7	83.84% / 95.80%

Final Ballot Results	
Standard	Quorum / Approval
CIP-010-5	86.15% / 76.98%
CIP-011-4	83.84% / 86.21%
CIP-013-3	83.84% / 86.47%

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

Align Release Notes Posted

During the April 20, 2024, maintenance outage, the Align system deployed modifications to correct existing functionality or to improve user experience. The modifications include, but are limited to, the following: corrections to the SEL Reference IDs generated in Align forms; updates for ERP Questionnaires (specific to NERC administration); fixes for filtering and sorting throughout all modules; corrections to the RFI recipient lists and updates to the emails related to RFIs issue from Enforcement, Mitigation, Periodic Data Submittals, Self-Certification, and Technical Feasibility Exceptions. The Align Release Notes 6.1.0 provide the specific changes and indicate the users affected, as well as if any training materials need to be modified. These details are documented in the Align Release Notes 6.1.0, published on April 20, 2024, and can be found on the [Align and SEL](#) webpage under the Release Materials section.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align and ERO SEL](#)

OTHER NEWS

NEW RSTC News Bulletin Posted

The RSTC News Bulletin is now available on the [website](#). Read about RSTC activities from the March meeting. Highlights in this edition include:

- Approved | Endorsed | Accepted – Agenda Items
- RSTC Spotlight Emerging Loads and Electric Vehicles (Ev) Panel Session
- Risk Prioritization
- SAR: Revisions to FAC-001 and FAC-002
- FERC Order No. 901
- Upcoming Events

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations Note: In accordance with FERC’s February 2023 approval order, NERC submitted a revised implementation plan for the EOP-012 standard on February 16, 2024, and it is pending FERC approval.
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
October 1, 2025	TOP-002-5 – Operations Planning Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security – Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW FERC Open Meeting Agenda Posted

FERC released the [sunshine act agenda](#) for its [upcoming April 25 open meeting](#). There is one item related to NERC’s responsibilities under Section 215 of the Federal Power Act: A-3 – AD24-8-000 – FERC, NERC, and Regional Entity Presentation into the January 2024 Arctic Storms.

UPCOMING EVENTS

For a full accounting of upcoming events, please refer to the [NERC Calendar](#).

Workshops and Conferences

Event	Time	Date	Location	Registration
2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop	All day	May 29–30	Dallas, TX	Details Agenda
GridSecCon 2024	All day	October 22–25	Minneapolis, MN	Save the Date

Webinars

Event	Time	Date	Registration
Project 2021-03 – CIP-002	12:00–2:00 p.m. Eastern	April 26	Details
Cold Weather Preparedness Small Group Advisory Session: General Session	1:00–3:00 p.m. Eastern	May 6	Details

Standing Committee Meetings and Conference Calls

Event	Time	Date	Registration
Member Representatives Committee Informational Session	2:00–3:30 p.m. Eastern	April 22	Details NEW Agenda
Personnel Certification Governance Committee Meeting	8:00–9:00 a.m. Pacific	April 30	Details
Compliance and Certification Committee Meeting	Day 1: 1:30–5:00 p.m. Central Day 2: 9:00 a.m.–12:00 p.m. Central	May 1–2	Day 1 Virtual Registration Day 1 In-person Registration Day 2 Virtual Registration Day 2 In-person Registration

NEW Standards Committee Special Call: Project 2022-03 Energy Assurance with Energy-Constrained Resources	3:00–4:00 p.m. Eastern	May 2	Details
Q2 2024 Board and Board Committee Meetings	Schedule of Events	May 8–9	Washington, D.C. Virtual Details
NEW Standards Committee Conference Call	1:00–3:00 p.m. Eastern	May 15	Details
Joint Reliability and Security Technical Committee/Standards Committee Meeting	See Details	June 11–12	Seattle, WA Virtual Details

Standard Drafting Team Meetings

Please refer to the [Standards](#) calendar for the most up-to-date listing of upcoming meetings.

Project	Time	Date	Registration
Project 2023-03 – Internal Network Security Monitoring	11:00 a.m.–4:00 p.m. Eastern	April 22	Details
Project 2023-06 – Modifications to CIP-014	See Details	April 24–25	Details
Project 2021-02 – Modifications to VAR-002-4.1	12:30–3:00 p.m. Eastern	April 30	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–2:00 p.m. Eastern	May 6	Details
NEW Project 2022-05 Modifications to CIP-008 Reporting Threshold	2:00–4:00 p.m. Eastern	May 6	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 7	Details
NEW Joint Meeting: Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) and Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 7	Details
NEW Project 2020-02 – Modifications to PRC-024 (Generator Ride-through)	8:30 a.m.–4:30 p.m. Eastern	May 8	Details
NEW Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 8	Details
NEW Project 2020-02 – Modifications to PRC-024 (Generator Ride-through)	8:30 a.m.–4:30 p.m. Eastern	May 9	Details
NEW Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 9	Details
NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–1:00 p.m. Eastern	May 9	Details

NEW Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–2:00 p.m. Eastern	May 10	Details
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ERO Enterprise Events				
Region	Event	Time	Date	Registration
Texas RE	2024 Spring Standards, Security, and Reliability Workshop	9:00 a.m.–3:30 p.m. Central	April 24	Details

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.