

Standards, Compliance, and Enforcement Bulletin

May 6–12, 2024

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Additional Ballots and Non-Binding Poll	05/07/24	05/16/24

Join Ballot Pools

Project	Action	Start Date	End Date
--	--	--	--

Posted for Comment

Project	Action	Start Date	End Date
Project 2021-03 – CIP-002 Draft 2 CIP-002-Y	Comment Period	04/02/24	05/16/24
Regional Reliability Standard BAL-004-WECC-4	Comment Period	04/10/24	05/28/24

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Open Comment Period for White Paper and Technical Reference Document: The System Protection and Controls Working Group (SPCWG) is seeking industry comments on the following documents. The Steady State Approach for PRC-024-3 Evaluation for Inverter-Based Resources – White Paper illustrates how a Generator Owner of an inverter-based resource (IBR) may evaluate their compliance with Requirement R2 of the NERC Reliability Standard PRC-024-3. The example provided in this report is not exclusive as there are likely other methods for implementing a standard. This report provides an example of how NERC registered entities can project their IBR unit voltage protection settings to a corresponding main power transformer (MPT) high-side voltage or conversely project the MPT high-side voltages to the corresponding IBR unit voltage protection settings. They can then directly compare the voltage protection settings to the PRC-024-3 voltage boundary curve since both values are on the same basis.</p> <p>The Transmission System Phase Backup Protection – Technical Reference Document discusses the pros, cons, and limitations of backup protection, and include recommendations, where deemed appropriate, for a balanced approach to the use of backup relaying as a means to ensure adequate system performance and/or to provide a system safety net to limit the spread of a system disturbance for events that exceed design criteria, such as those involving multiple protection system or equipment failures. The document provides a discussion of fundamental concepts related to phase backup protection for the most common equipment on the power system: transmission lines and autotransformers.</p>	<p>Submit comments to via email to Ed Ruck using the comment matrices.</p> <p>White Paper Comment Matrix</p> <p>Technical Reference Document Comment Matrix</p>	04/24/24	05/23/24

<p>The Reliability and Security Technical Committee (RSTC) approved posting for a 30-day industry comment period. Draft versions of the documents have been posted on the Reliability Guidelines, Security Guidelines, Technical Reference Documents, and White Papers page.</p>			
<p>Security Guideline Retirement Survey Now Available: The Security Working Group (SWG) has reviewed three existing Security Guidelines to determine if the Security Guidelines should be updated or retired. Internal SWG results favored the retirement of the three Security Guidelines (Control Systems Electronic Connectivity, Physical Security Considerations at High Impact Control Centers, and Physical Security Response). Per Reliability Security and Technical Committee (RSTC) process, this survey is being sent to industry for a 45-day comment period to validate the SWG’s internal finding that the three Security Guidelines listed below should be retired. Should they be retired, they will remain accessible on the RSTC Approved Documents Page but will be moved to the “Retired” section.</p> <p>Technology has continually evolved since these guidelines were published. It is essential that Security Guidelines be complete, accurate, impartial, and current. Thus, the industry is being asked to review these Security Guidelines and recommend the next steps by completing this survey. Any guideline(s) without strong support for an update will be moved to “Retired” status. If a guideline receives <i>no responses</i> from this inquiry, it will also be moved to “Retired” status.</p>	<p>Please respond to the survey here.</p>	<p>04/10/24</p>	<p>05/25/24</p>
<p>Comment Period Open for Draft Reliability Guideline: As the use of distribution-connected power sources increases across the NERC footprint, studying the performance of these distributed energy resources (DER) and their potential impact on BES reliability becomes paramount. To help bulk system planners in this regard, the System Planning Impacts from DER Working Group (SPIDERWG) has developed a reliability guideline. This guideline provides a set of recommended practices to study various aspects of DER in</p>	<p>Comments are to be submitted via email using the comment form.</p>	<p>04/22/24</p>	<p>06/06/24</p>

<p>the planning horizon, including information sharing practices in a utility that serves both distribution and transmission functions.</p> <p>Reliability guidelines are not binding norms or parameters nor are they Reliability Standards; however, NERC encourages entities to review, validate, adjust, and/or develop a program with the practices set forth in this guideline. Entities should review this guideline in detail and in conjunction with evaluations of their internal processes and procedures; these reviews could highlight that appropriate changes are needed, and these changes should be done with consideration of system design, configuration, and business practices.</p> <p>The RSTC approved posting for a 45-day industry comment period. A draft version of the guideline has been posted on the Reliability Guidelines, Security Guidelines, Technical Reference Documents, and White Papers page.</p>			
<p>Comment Period Open for Proposed NERC Rules of Procedure Revisions: NERC is proposing revisions to Appendix 4E (Compliance and Certification Committee (CCC) Hearing Procedures, Hearing Procedures for Use in Appeals of Certification Matters, and Mediation Procedures) of its Rules of Procedure. The proposed revisions, developed in coordination with the CCC, are clarifying and mostly non-substantive but help to ensure the hearing and mediation procedures used by the CCC in Appendix 4E are consistent and up-to-date with other Rules of Procedure provisions regarding the Compliance Monitoring and Enforcement Program.</p> <p>A redlined version of NERC Rules of Procedure, Appendix 4E showing the proposed revisions is now available. NERC intends to submit these changes to the NERC Board of Trustees for consideration at its August 2024 meeting. For more information or assistance, please contact Marisa Hecht.</p>	<p>Submit comments electronically to ROPcomments@nerc.net.</p>	<p>04/30/24</p>	<p>06/14/24</p>

STANDARDS NEWS

NEW Final Ballot Results

Final ballots for Project 2023-03 – Internal Network Security Monitoring concluded April 30, 2024 for CIP-015-1 – Cyber Security – Internal Network Security Monitoring and its Implementation Plan. Voting statistics are listed below, and the [Ballot Results](#) page provides detailed results. The standard will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities.

Final Ballot Results	
Standard	Quorum / Approval
CIP-015-1	93.36% / 76.57%
Implementation Plan	93.31% / 82.1%

NEW Webinar Resources Posted

NERC posted the [slide presentation](#) and [recording](#) from the April 26, 2024 Project 2021-03 – CIP-002 Industry Webinar.

Quick Links

- [Register in the SBS](#)
- [Standards Project Prioritization \(November 2023\)](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2023-2025 Reliability Standards Development Plan \(Filed With FERC\)](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions Align and ERO SEL](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations Note: In accordance with FERC’s February 2023 approval order, NERC submitted a revised implementation plan for the EOP-012 standard on February 16, 2024, and it is pending FERC approval.
July 1, 2025	IRO-010-5 – Reliability Coordinator Data and information Specification and Collection Implementation Plan TOP-003-6.1 – Transmission Operator and Balancing Authority Data and Information Specification and Collection Implementation Plan
October 1, 2025	TOP-002-5 – Operations Planning Implementation Plan
April 1, 2026	CIP-003-9 - Cyber Security – Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

UPDATED Resources for Second Quarter 2024 Board of Trustees and Board Committee Meetings Now Available

NERC’s second quarter Board of Trustees and Member Representatives Committee meetings are scheduled for May 8–9, 2024 and will be conducted in a hybrid format. All observers will attend virtually. Only Board of Trustees and Member Representatives Committee members will attend in-person at NERC’s Washington, D.C. office. Registration is available below and under [Upcoming Events](#).

Resources and Schedule		
May 8, 2024		May 9, 2024
Corporate Governance and Human Resources Committee Agenda Package 8:15 a.m. Eastern	Regulatory Oversight Committee Agenda Package 11:00 a.m. Eastern	Board of Trustees Agenda Package 9:00 a.m. Eastern
Finance and Audit Committee Agenda Package 9:00 a.m. Eastern	NERC Quarterly Technical Session Agenda Package 1:00 p.m. Eastern	Other: Schedule of Events Registration
Technology and Security Committee Agenda Package 9:45 a.m. Eastern	Member Representatives Committee Agenda Package 3:30 p.m. Eastern	

NEW NERC Filings

On April 30, 2024, NERC submitted a [Motion for Leave to Answer and Answer to Comments](#) on NERC’s proposed Rules of Procedure revisions to register unregistered IBRs (Docket No. RR24-2-000). [NERC Filings to FERC for 2024](#)

NEW FERC Issuances

On April 29, 2024, FERC issued a [letter order](#) approving 2 new, 30 modified, and 4 retired definitions in terms related to the calculation of Reporting Area Control Error (Reporting ACE). The newly approved terms and definitions go into effect on July 1, 2025.

UPCOMING EVENTS

For a full accounting of upcoming events, please refer to the [NERC Calendar](#).

Workshops and Conferences

Event	Time	Date	Location	Registration
2024 NERC-NATF-EPRI Extreme Weather Transmission Planning and Modeling Workshop	All day	May 29–30	Dallas, TX	Details Agenda
GridSecCon 2024	All day	October 22–25	Minneapolis, MN	Save the Date

Webinars

Event	Time	Date	Registration
Cold Weather Preparedness Small Group Advisory Session: General Session	1:00–3:00 p.m. Eastern	May 6	Details
NEW 6 GHz Communication Interference Preparation	12:00–2:00 p.m. Eastern	May 13	Details
NEW Project 2022-03 – Energy Assurance with Energy-Constrained Resources	2:00–3:30 p.m. Eastern	May 23	Details

Standing Committee Meetings and Conference Calls

Event	Time	Date	Registration
Q2 2024 Board and Board Committee Meetings	Schedule of Events	May 8–9	Washington, D.C. Virtual Details
Standards Committee Conference Call	1:00–3:00 p.m. Eastern	May 15	Details
Joint Reliability and Security Technical Committee/Standards Committee Meeting	See Details	June 11–12	Seattle, WA Virtual Details

Standard Drafting Team Meetings

Please refer to the [Standards calendar](#) for the most up-to-date listing of upcoming meetings.

Project	Time	Date	Registration
Project 2021-04 Modifications to PRC-002 - Phase II	1:00–3:00 p.m. Eastern	May 6	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–2:00 p.m. Eastern	May 6	Details
Project 2022-05 Modifications to CIP-008 Reporting Threshold	2:00–4:00 p.m. Eastern	May 6	Details

Joint Meeting: Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) and Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 7	Details
NEW Project 2021-03 – CIP-002 Drafting Team (Group B)	12:00–2:00 p.m. Eastern	May 7	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 7	Details
NEW Project 2023-04 – Modifications to CIP-003	3:00–5:00 p.m. Eastern	May 7	Details
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through)	8:30 a.m.–4:30 p.m. Eastern	May 8	Details
Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 8	Details
Project 2020-02 – Modifications to PRC-024 (Generator Ride-through)	8:30 a.m.–4:30 p.m. Eastern	May 9	Details
Project 2023-02 – Analysis and Mitigation of BES IBR Performance Issues	8:30 a.m.–4:30 p.m. Eastern	May 9	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–1:00 p.m. Eastern	May 9	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–2:00 p.m. Eastern	May 10	Details
NEW Project 2023-04 – Modifications to CIP-003	2:00–4:00 p.m. Eastern	May 13	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 15	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–3:00 p.m. Eastern	May 16	Details
NEW Project 2021-03 – CIP-002 Drafting Team (Group B)	12:00–2:00 p.m. Eastern	May 17	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–3:00 p.m. Eastern	May 17	Details
Project 2019-04 – Modifications to PRC-005-6	See Details	May 20–22	May 20 Details May 21 Details May 22 Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	12:00–3:00 p.m. Eastern	May 20	Details
Project 2022-05 – Modifications to CIP-008 Reporting Threshold	2:00–4:00 p.m. Eastern	May 20	Details
NEW Project 2021-03 – CIP-002	12:00–2:00 p.m. Eastern	May 21	Details

Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 21	Details
Project 2023-07 – Transmission Planning Performance Requirements for Extreme Weather	1:00–3:00 p.m. Eastern	May 22	Details
NEW Project 2021-03 – CIP-002	See Details	May 28–30	Details

ERO Enterprise Events				
Region	Event	Time	Date	Registration
Texas RE	NEW Reliability 101 and 201 Webinar Series	See Details	June 3–24	Details

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.