

**NERC ATC/TTC/CBM/TRM Standards Drafting Team**

**October 9–11, 2007 — 8 a.m.–5 p.m. EDT**

**Grand Hyatt Hotel  
Washington, D.C.**

**Meeting Agenda**

**1. Welcome**

- Antitrust Guidelines
- Introduction of Attendees
- Adoption of Agenda
- Approval of Meeting Notes

**2. NERC Staff Update**

- **Project Schedule and Strategy**
- **Future Meetings**
  - October 9–11 — 8 a.m.–5 p.m. all three days — Washington, DC (NERC Offices) VERIFIED
  - November 7th — 9 a.m.–noon — Houston, TX (NAESB Offices) — Joint w/ NAESB
  - January 8–10 — 8 a.m.–5 p.m. all three days — Tampa, FL (FRCC) VERIFIED
  - January 22–24 — 8 a.m.–5 p.m. all three days — Houston, TX (NAESB Offices)
  - April 22–24 — 8 a.m.–5 p.m. all three days — Atlanta, GA (Southern Company Offices)
  - May 6–8 — 8 a.m.–5 p.m. all three days — Charlotte, NC (Duke Energy Offices)

**3. Review of Work to Date**

- Tuesday Morning
  - Review Implementation Plan Conflict - 10 minutes
  - Confirm MOD-029, MOD-028, and MOD-030 Changes to Native Load — 30 minutes
  - Review MOD-004 Changes — 3 hours
- Tuesday Afternoon
  - Review MOD-001 Comment Responses — 1 hour
  - Review MOD-001 Compliance and Measures — 1 hour
  - Review MOD-008 Comment Responses — 1 hour
  - Review MOD-008 Compliance and Measures — 1 hour
- Wednesday Morning
  - Review MOD-004 Comment Responses — 2 hour
  - Review MOD-004 Compliance and Measures — 2 hours

- Wednesday Afternoon
  - Review MOD-029 Comment Responses — 1 hour
  - Review MOD-029 Compliance and Measures — 1 hour
  - Review MOD-028 Comment Responses — 1 hour
  - Review MOD-028 Compliance and Measures — 1 hour
- Thursday Morning
  - Review MOD-030 Comment Responses — 3 hours
  - Review MOD-030 Compliance and Measures — 1 hour
- Thursday Afternoon
  - Review any Outstanding Issues

**4. Assignments and Action Items**

**5. Adjournment**

## Conference Call and WebEx Information

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- **October 9, 2007**
  - Conference Call
    - Dial in: 732-694-2061
    - Password: 1205100907
  - WebEx
    - <http://nerc.webex.com>
    - Password: standards
- **October 10, 2007**
  - Conference Call
    - Dial in: 732-694-2061
    - Password: 1205101007
  - WebEx
    - <http://nerc.webex.com>
    - Password: standards
- **October 11, 2007**
  - Conference Call
    - Dial in: 732-694-2061
    - Password: 1205101107
  - WebEx
    - <http://nerc.webex.com>
    - Password: standards





## **NERC ANTITRUST COMPLIANCE GUIDELINES**

### **I. GENERAL**

It is NERC's policy and practice to obey the antitrust laws and to avoid all conduct that unreasonably restrains competition. This policy requires the avoidance of any conduct that violates, or that might appear to violate, the antitrust laws. Among other things, the antitrust laws forbid any agreement between or among competitors regarding prices, availability of service, product design, terms of sale, division of markets, allocation of customers or any other activity that unreasonably restrains competition.

It is the responsibility of every NERC participant and employee who may in any way affect NERC's compliance with the antitrust laws to carry out this commitment.

Antitrust laws are complex and subject to court interpretation that can vary over time and from one court to another. The purpose of these guidelines is to alert NERC participants and employees to potential antitrust problems and to set forth policies to be followed with respect to activities that may involve antitrust considerations. In some instances, the NERC policy contained in these guidelines is stricter than the applicable antitrust laws. Any NERC participant or employee who is uncertain about the legal ramifications of a particular course of conduct or who has doubts or concerns about whether NERC's antitrust compliance policy is implicated in any situation should consult NERC's General Counsel immediately.

### **II. PROHIBITED ACTIVITIES**

Participants in NERC activities (including those of its committees and subgroups) should refrain from the following when acting in their capacity as participants in NERC activities (e.g., at NERC meetings, conference calls and in informal discussions):

- Discussions involving pricing information, especially margin (profit) and internal cost information and participants' expectations as to their future prices or internal costs.
  - Discussions of a participant's marketing strategies.
  - Discussions regarding how customers and geographical areas are to be divided among competitors.
  - Discussions concerning the exclusion of competitors from markets.
- Discussions concerning boycotting or group refusals to deal with competitors, vendors or suppliers.

### **III. ACTIVITIES THAT ARE PERMITTED**

From time to time decisions or actions of NERC (including those of its committees and subgroups) may have a negative impact on particular entities and thus in that sense adversely impact competition. Decisions and actions by NERC (including its committees and subgroups) should only be undertaken for the purpose of promoting and maintaining the reliability and adequacy of the bulk power system. If you do not have a legitimate purpose consistent with this objective for discussing a matter, please refrain from discussing the matter during NERC meetings and in other NERC-related communications.

You should also ensure that NERC procedures, including those set forth in NERC's Certificate of Incorporation and Bylaws are followed in conducting NERC business. Other NERC procedures that may be applicable to a particular NERC activity include the following:

- Reliability Standards Process Manual
- Organization and Procedures Manual for the NERC Standing Committees

- System Operator Certification Program

In addition, all discussions in NERC meetings and other NERC-related communications should be within the scope of the mandate for or assignment to the particular NERC committee or subgroup, as well as within the scope of the published agenda for the meeting.

No decisions should be made nor any actions taken in NERC activities for the purpose of giving an industry participant or group of participants a competitive advantage over other participants. In particular, decisions with respect to setting, revising, or assessing compliance with NERC reliability standards should not be influenced by anti-competitive motivations.

Subject to the foregoing restrictions, participants in NERC activities may discuss:

- Reliability matters relating to the bulk power system, including operation and planning matters such as establishing or revising reliability standards, special operating procedures, operating transfer capabilities, and plans for new facilities.
- Matters relating to the impact of reliability standards for the bulk power system on electricity markets, and the impact of electricity market operations on the reliability of the bulk power system.
- Proposed filings or other communications with state or federal regulatory authorities or other governmental entities.
- Matters relating to the internal governance, management and operation of NERC, such as nominations for vacant committee positions, budgeting and assessments, and employment matters; and procedural matters such as planning and scheduling meetings.

Any other matters that do not clearly fall within these guidelines should be reviewed with NERC's General Counsel before being discussed.

*Approved by NERC Board of Trustees, June 14, 2002 Technical revisions, May 13, 2005 2*

**ATC/TTC/AFC and CBM/TRM Revisions Standard Drafting Team**

**September 25-27, 2007**

**Meeting Notes**

**1. Administration**

**a. Introduction of Attendees**

The following members and guests were in attendance:

- Larry Middleton, Chair
- Rebecca Berdahl
- Daryn Barker
- Bob Birch
- Shannon Black
- John Burnett
- Ron Carlsen
- DuShaune Carter
- Reed Edwards
- Chuck Falls
- Marilyn Franz
- Bill Harm
- Nick Henery
- Ray Kershaw
- Butch Kimble
- Dennis Kimm
- Ross Kovacs
- Laura Lee
- Dave Lunceford
- Cheryl Mendrala
- Biagio Pinto
- Gary Rozier
- Ed Ruck
- Narinder Saini
- Jerry Smith
- Aaron Staley
- Wendy Weathers
- Andy Rodriguez

**b. Approval of Agenda**

Jerry Smith moved for the agenda to be approved. The motion was seconded, and approved unanimously.

**c. Approval of Minutes**

Shannon Black moved that the meeting notes from the August 27–29 and September 12–14 meetings be approved. The motion was seconded, and passed unanimously.

## 2. NERC Staff Update

### a. Project Schedule

Andy Rodriquez reviewed the plans for this meeting and future meetings.

#### September 25-27 Meeting:

##### Items Due by Meeting:

- Final MOD-028, MOD-029, and MOD-030 incl. Compliance
- Draft MOD-001, MOD-004, and MOD-008
- Draft Implementation Plan
- Draft Comment Form
- Drafts of Responses to Comments

##### Action Items to be Completed at Meeting:

- Review and identify any edits, consistency in MOD-001, MOD-004, and MOD-008
- Write Violation Risk Factors for each R in MOD-001, MOD-004, and MOD-008
- Write Time Horizons for each R in MOD-001, MOD-004, and MOD-008
- Review Draft Implementation Plan; Make Final
- Review Draft Comment Form; Make Final
- Review 28 29 30 consistency edits

##### Assignments to be Completed After Meeting:

- E-Mail review of MOD-028, MOD-029, and MOD-030 Finals
- E-Mail review of Draft Comment Responses
- E-Mail review of Final Implementation Plan
- Write initial compliance for MOD-001, MOD-004, and MOD-008

#### October 9–11 Meeting:

##### Items Due by Meeting:

- Final MOD-001, MOD-004, and MOD-008 incl. Compliance
- Final Implementation Plan
- Final Comment Form
- Final Response to Comments

##### Action Items to be Completed at Meeting:

- Final Review and Edits of all deliverables

##### Assignments to be Completed After Meeting:

- Final clean-up, formatting, etc...

### b. Future Meetings



Andy Rodriguez reviewed the meeting schedule.

- October 9–11 — 8 a.m.–5 p.m. all three days — Washington, DC (NERC Offices) VERIFIED
- November 7<sup>th</sup> — 9 a.m. –noon — Houston, TX (NAESB Offices) — Joint with NAESB
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### **3. Group Work**

The drafting team reviewed the MOD-028, MOD-029, and MOD-030 drafts for consistency. The group identified consistent language to be used for counterflows. MOD-001 was reviewed for edits and consistency. MOD-004 was reviewed and edited extensively. Following the edits, Ray Kershaw moved that the team “undelete all the requirements for the studies that were deleted earlier, such as the probabilistic studies.” The motion was seconded and did not pass, with 2 in favor and 6 opposed. Ron Carlsen suggested changes to MOD-008 to increase the detail in the standard, but the group, after debate, agreed that the changes suggested were not well developed enough. The team drafted the Violation Risk Factors and Time Horizons for MOD-001, MOD-004, and MOD-008. The team reviewed and edited the Implementation plan. Nick Henery moved that the Implementation Plan be approved as edited. The motion was seconded, and passed, with 4 in favor and 1 opposed. The team reviewed and finalized the Comment Form.

### **4. Adjourn**