

## Project 2009-01 Disturbance and Sabotage Reporting Mapping Document

Translation of CIP-002-2a – Sabotage Reporting and EOP-004-1 – Disturbance Reporting into EOP-004-2 – Event Reporting

Standard: CIP-001-2a – Sabotage Reporting		
Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
R1. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall have procedures for the recognition of and for making their operating personnel aware of sabotage events on its facilities and multi site sabotage affecting larger portions of the Interconnection.	Moved into EOP-004-2, R1	<p>R1. Each Responsible Entity shall have an event reporting Operating Plan in accordance with EOP-004-2 Attachment 1 that includes the protocol(s) for reporting to the Electric Reliability Organization and other organizations (e.g., the regional entity, company personnel, the Responsible Entity’s Reliability Coordinator, law enforcement, or governmental authority). <i>[Violation Risk Factor: Lower] [Time Horizon: Operations Planning]</i></p> <p>The specific list of events shown in Attachment 1 provides the Responsible Entity with clarity of what is required to be reported under this standard.</p>

Standard: CIP-001-2a – Sabotage Reporting

Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
<p>R2. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall have procedures for the communication of information concerning sabotage events to appropriate parties in the Interconnection.</p>	<p>Moved into EOP-004-2, R1 and R2.</p>	<p>R1. Each Responsible Entity shall have an event reporting Operating Plan in accordance with EOP-004-2 Attachment 1 that includes the protocol(s) for reporting to the Electric Reliability Organization and other organizations (e.g., the regional entity, company personnel, the Responsible Entity’s Reliability Coordinator, law enforcement, or governmental authority). <i>[Violation Risk Factor: Lower] [Time Horizon: Operations Planning]</i></p> <p>R2. Each Responsible Entity shall implement its event reporting Operating Plan within 24 hours of meeting an event type threshold for reporting. <i>[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]</i></p> <p>These requirements specify that the Responsible Entity must have an Operating Plan for reporting events listed in Attachment 1 to the necessary parties, including law enforcement. NERC Situational Awareness has an operating protocol to forward all event reports (for events that occur within the United States) to FERC.</p>

Standard: CIP-001-2a – Sabotage Reporting		
Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
R3. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall provide its operating personnel with sabotage response guidelines, including personnel to contact, for reporting disturbances due to sabotage events.	Moved into EOP-004-2, R1	R1. Each Responsible Entity shall have an event reporting Operating Plan in accordance with EOP-004-2 Attachment 1 that includes the protocol(s) for reporting to the Electric Reliability Organization and other organizations (e.g., the regional entity, company personnel, the Responsible Entity’s Reliability Coordinator, law enforcement, or governmental authority). <i>[Violation Risk Factor: Lower] [Time Horizon: Operations Planning]</i>  This requirement specifies that the Responsible Entity must have an Operating Plan for reporting events listed in Attachment 1 to the necessary parties, including law enforcement.
R4. Each Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, and Load-Serving Entity shall establish communications contacts, as applicable, with local Federal Bureau of Investigation (FBI) or Royal Canadian Mounted Police (RCMP) officials and develop reporting procedures as appropriate to their circumstances.	Moved into EOP-004-2, R1	R1. Each Responsible Entity shall have an event reporting Operating Plan in accordance with EOP-004-2 Attachment 1 that includes the protocol(s) for reporting to the Electric Reliability Organization and other organizations (e.g., the regional entity, company personnel, the Responsible Entity’s Reliability Coordinator, law enforcement, or governmental authority). <i>[Violation Risk Factor: Lower] [Time Horizon: Operations Planning]</i>  These requirements specify that the Responsible Entity must have an Operating Plan for reporting events listed in Attachment 1 to the necessary parties, including law enforcement.

Standard: EOP-004-1 – Disturbance Reporting		
Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
R1. Each Regional Reliability Organization shall establish and maintain a Regional reporting procedure to facilitate preparation of preliminary and final disturbance reports.	Retire this fill-in-the-blank requirement.  Replace with new reporting and analysis procedure developed by NERC EAWG.	The requirements of EOP-004-2 specify that an entity must report certain types of events. The NERC EAWG has developed continent wide reporting and analysis guidelines applicable under the NERC Rules of Procedure, Section 800.
R2. A Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity shall promptly analyze Bulk Electric System disturbances on its system or facilities.	The NERC Events Analysis Process	The requirements of EOP-004-2 specify that an entity must report certain types of events. The NERC EAWG has developed continent wide reporting and analysis guidelines applicable under the NERC Rules of Procedure, Section 800.
R3. A Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity experiencing a reportable incident shall provide a preliminary written report to its Regional Reliability Organization and NERC.	Translated into EOP-004-2, R2	R2. Each Responsible Entity shall implement its event reporting Operating Plan within 24 hours of meeting an event type threshold for reporting. <i>[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]</i>  The requirements of EOP-004-2 specify that an entity must report certain types of events.

Standard: EOP-004-1 – Disturbance Reporting		
Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
R3.1. The affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator or Load-Serving Entity shall submit within 24 hours of the disturbance or unusual occurrence either a copy of the report submitted to DOE, or, if no DOE report is required, a copy of the NERC Interconnection Reliability Operating Limit and Preliminary Disturbance Report form. Events that are not identified until sometime after they occur shall be reported within 24 hours of being recognized.	Translated into EOP-004-2, R2	R2. Each Responsible Entity shall implement its event reporting Operating Plan within 24 hours of meeting an event type threshold for reporting. <i>[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]</i>  The requirements of EOP-004-2 specify that an entity must report certain types of events.
R3.2. Applicable reporting forms are provided in Attachments 022-1 and 022-2.	Retire – informational statement	

Standard: EOP-004-1 – Disturbance Reporting

Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
<p>R3.3. Under certain adverse conditions, e.g., severe weather, it may not be possible to assess the damage caused by a disturbance and issue a written Interconnection Reliability Operating Limit and Preliminary Disturbance Report within 24 hours. In such cases, the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall promptly notify its Regional Reliability Organization(s) and NERC, and verbally provide as much information as is available at that time. The affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall then provide timely, periodic verbal updates until adequate information is available to issue a written Preliminary Disturbance Report.</p>	<p>Retire as a requirement. Added as a “Note” to EOP-004-Attachment1-Events Table</p>	<p>NOTE: Under certain adverse conditions (e.g. severe weather, multiple events) it may not be possible to report the damage caused by an event and issue a written Event Report. In such cases, the affected Responsible Entity shall notify parties per Requirement R2 and provide as much information as is available at the time of the notification. Submit reports to the ERO via one of the following: e-mail: <a href="mailto:systemawareness@nerc.net">systemawareness@nerc.net</a> or Voice: 404-446-9780.</p>

<b>Standard: EOP-004-1 – Disturbance Reporting</b>		
<b>Requirement in Approved Standard</b>	<b>Translation to New Standard or Other Action</b>	<b>Proposed Language in EOP-004-2 - Event Reporting</b>
<p>R3.4. If, in the judgment of the Regional Reliability Organization, after consultation with the Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity in which a disturbance occurred, a final report is required, the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity shall prepare this report within 60 days. As a minimum, the final report shall have a discussion of the events and its cause, the conclusions reached, and recommendations to prevent recurrence of this type of event. The report shall be subject to Regional Reliability Organization approval.</p>	<p>Retire this fill-in-the-blank requirement.</p>	<p>The requirements of EOP-004-2 specify that an entity must report certain types of events. The NERC EAWG has developed continent wide reporting and analysis guidelines applicable under the NERC Rules of Procedure, Section 800.</p>

<b>Standard: EOP-004-1 – Disturbance Reporting</b>		
<b>Requirement in Approved Standard</b>	<b>Translation to New Standard or Other Action</b>	<b>Proposed Language in EOP-004-2 - Event Reporting</b>
R4. When a Bulk Electric System disturbance occurs, the Regional Reliability Organization shall make its representatives on the NERC Operating Committee and Disturbance Analysis Working Group available to the affected Reliability Coordinator, Balancing Authority, Transmission Operator, Generator Operator, or Load-Serving Entity immediately affected by the disturbance for the purpose of providing any needed assistance in the investigation and to assist in the preparation of a final report.	Retire this fill-in-the-blank requirement.	The requirements of EOP-004-2 specify that an entity must report certain types of events. The NERC EAWG has developed continent wide reporting and analysis guidelines applicable under the NERC Rules of Procedure, Section 800.



**Standard: EOP-004-1 – Disturbance Reporting**

Requirement in Approved Standard	Translation to New Standard or Other Action	Proposed Language in EOP-004-2 - Event Reporting
<p>R5. The Regional Reliability Organization shall track and review the status of all final report recommendations at least twice each year to ensure they are being acted upon in a timely manner. If any recommendation has not been acted on within two years, or if Regional Reliability Organization tracking and review indicates at any time that any recommendation is not being acted on with sufficient diligence, the Regional Reliability Organization shall notify the NERC Planning Committee and Operating Committee of the status of the recommendation(s) and the steps the Regional Reliability Organization has taken to accelerate implementation.</p>	<p>Retire this fill-in-the-blank requirement.</p>	<p>The requirements of EOP-004-2 specify that an entity must report certain types of events. The NERC EAWG has developed continent wide reporting and analysis guidelines applicable under the NERC Rules of Procedure, Section 800.</p>
<p>Request for Interpretation of CIP-001-2a, R2: Please clarify what is meant by the term, “appropriate parties.” Moreover, who within the Interconnection hierarchy deems parties to be appropriate?</p>	<p>Retire the interpretation</p>	<p>Addressed in EOP-004-2, R1 by replacing the term, ‘appropriate parties’ with a broader, more specific list of specific entities to contact in Requirement R1.</p>