

A. Introduction

1. **Title:** ~~Balancing Authority Control~~Automatic Generation Control
2. **Number:** BAL-005-~~30-2b~~
3. **Purpose:** This standard establishes requirements for acquiring necessary data for the Balancing Authority ~~Automatic Generation Control (AGC) necessary to calculate Reporting Area Control Error (ACE) and to routinely deploy the Regulating Reserve.~~ The standard also ensures that all facilities and load electrically synchronized to the Interconnection are included within the metered boundary of a Balancing Area so that balancing of resources and demand can be achieved under Tie-Line Bias Control.
4. **Applicability:**
 - 4.1. Balancing Authorities
 - ~~5.0. Generator Operators~~
 - ~~6.0. Transmission Operators~~
 - ~~7.0. Load Serving Entities~~
- ~~8.5. Effective Date:~~ May 13, 2009To be determined.

B. Requirements

- ~~R1.~~ Each Balancing Authority shall implement only those Tie-Lines and Pseudo-Ties with Adjacent Balancing Authorities shall be implemented as Actual Net Interchange Actual in the Reporting ACE. All generation, transmission, and load operating within an Interconnection must be included within the metered boundaries of a Balancing Authority Area.
- ~~R2.0.~~ Each Generator Operator with generation facilities operating in an Interconnection shall ensure that those generation facilities are included within the metered boundaries of a Balancing Authority Area.
- ~~R3.0.~~ Each Transmission Operator with transmission facilities operating in an Interconnection shall ensure that those transmission facilities are included within the metered boundaries of a Balancing Authority Area.
- ~~R4.0.~~ Each Load Serving Entity with load operating in an Interconnection shall ensure that those loads are included within the metered boundaries of a Balancing Authority Area.
- ~~R5.~~R2. Each Balancing Authority shall implement only those~~Only~~ Interchange Schedules including Dynamic Schedules with Adjacent Balancing Authorities shall be implemented as Scheduled Net Scheduled Interchange in the Reporting ACE. Each Balancing Authority shall maintain Regulating Reserve that can be controlled by AGC to meet the Control Performance Standard. (Retirement approved by NERC BOT pending applicable regulatory approval.)
- ~~R6.~~ A Balancing Authority providing Regulation Service shall ensure that adequate metering, communications, and control equipment are employed to prevent such service from becoming a Burden on the Interconnection or other Balancing Authority Areas.
- R3. Adjacent Balancing Authorities shall ensure that adequate metering, communications, and control equipment are employed between them to ensure that common and agreed-upon values are communicated to both Balancing Authorities for all Tie-Lines, Pseudo-Ties, and Interchange Schedules including Dynamic Schedules, even when primary source data is not available.

- R3.1. A Balancing Authority with a high voltage direct current (HVDC) Tie-Line to another Balancing Authority connected asynchronously to the Interconnection may choose to omit the scheduled and actual Interchange related to the HVDC Tie-Line from the ACE equation if the HVDC Tie-Line is modeled as internal generation or load by both BAs at either end of the Tie-Line.
- R3.2. Each Balancing Authority shall perform hourly error checks using Tie-Line megawatt-hour meters with common time synchronization to determine the accuracy of its control equipment.
- R3.3. The Balancing Authority shall correct for any component (e.g., Interchange or frequency) of Reporting ACE that is in error or use the interchange meter error (IME) term of the Reporting ACE equation to compensate for any equipment error until repairs can be made.
- R3.4. Adjacent Balancing Authorities shall ensure that each Tie-Line, Pseudo-Tie, and Dynamic Schedule between them is equipped with an agreed-upon common source to determine hourly megawatt-hour values. These values shall be provided hourly to each of the Adjacent Balancing Authorities.
- R3.5. The Balancing Authority shall ensure that data acquisition for Reporting ACE occur at least every six seconds.
- R3.5.1. The Balancing Authority shall calculate Reporting ACE at least every six seconds.
- R3.5.2. Each Balancing Authority shall perform hourly error checks of NA_i using clock-hour accumulations or integrations of Tie-Line megawatt-hour meter readings. The time synchronization of the meters shall use a common and agreed upon source (e.g., Coordinated Universal Time (UTC))
- ~~R7.~~ A Balancing Authority providing Regulation Service shall notify the Host Balancing Authority for whom it is controlling if it is unable to provide the service, as well as any Intermediate Balancing Authorities.
- ~~R8.~~ A Balancing Authority receiving Regulation Service shall ensure that backup plans are in place to provide replacement Regulation Service should the supplying Balancing Authority no longer be able to provide this service.
- ~~R9.R4.~~ The Balancing Authority's AGC shall compare total Net Actual Interchange to total Net Scheduled Interchange plus Frequency Bias obligation to determine the Balancing Authority's ACE. Single Balancing Authorities operating asynchronously may employ alternative ACE calculations such as (but not limited to) flat frequency control. If a Balancing Authority is unable to calculate ACE for more than 30 minutes it shall notify its Reliability Coordinator.
- ~~R9.~~ The Balancing Authority shall operate AGC continuously unless such operation adversely impacts the reliability of the Interconnection. If AGC has become inoperative, the Balancing Authority shall use manual control to adjust generation to maintain the Net Scheduled Interchange.
- ~~R9.~~ The Balancing Authority shall ensure that data acquisition for and calculation of ACE occur at least every six seconds.
- ~~R9.3.R5.~~ Each Balancing Authority shall provide redundant and independent frequency metering equipment that shall automatically activate upon detection of failure of the primary metering source. This overall installation shall provide a minimum availability of 99.95%.

- ~~R10.~~ The Balancing Authority shall include all Interchange Schedules with Adjacent Balancing Authorities in the calculation of Net Scheduled Interchange for the ACE equation.
- ~~R11.0.~~ Balancing Authorities with a high voltage direct current (HVDC) link to another Balancing Authority connected asynchronously to their Interconnection may choose to omit the Interchange Schedule related to the HVDC link from the ACE equation if it is modeled as internal generation or load.
- ~~R12.~~ The Balancing Authority shall include all Dynamic Schedules in the calculation of Net Scheduled Interchange for the ACE equation.
- ~~R13.~~ Balancing Authorities shall include the effect of ramp rates, which shall be identical and agreed to between affected Balancing Authorities, in the Scheduled Interchange values to calculate ACE.
- ~~R14.~~ Each Balancing Authority shall include all Tie Line flows with Adjacent Balancing Authority Areas in the ACE calculation.
- ~~R15.0.~~ Balancing Authorities that share a tie shall ensure Tie Line MW metering is telemetered to both control centers, and emanates from a common, agreed upon source using common primary metering equipment. Balancing Authorities shall ensure that megawatt-hour data is telemetered or reported at the end of each hour.
- ~~R16.0.~~ Balancing Authorities shall ensure the power flow and ACE signals that are utilized for calculating Balancing Authority performance or that are transmitted for Regulation Service are not filtered prior to transmission, except for the Anti-aliasing Filters of Tie Lines.
- ~~R17.0.~~ Balancing Authorities shall install common metering equipment where Dynamic Schedules or Pseudo-Ties are implemented between two or more Balancing Authorities to deliver the output of Jointly Owned Units or to serve remote load.
- ~~R18.~~ Each Balancing Authority shall perform hourly error checks using Tie Line megawatt-hour meters with common time synchronization to determine the accuracy of its control equipment. The Balancing Authority shall adjust the component (e.g., Tie Line meter) of ACE that is in error (if known) or use the interchange meter error (I_{ME}) term of the ACE equation to compensate for any equipment error until repairs can be made.
- ~~R19.R6.~~ The Balancing Authority shall provide its operating personnel with sufficient instrumentation and data recording equipment to facilitate monitoring of control performance, generation response, and after the fact analysis of area performance. As a minimum, the Balancing Authority shall provide its operating personnel with real-time values for ACE, Interconnection frequency and Net Actual Interchange with each Adjacent Balancing Authority Area. The Balancing Authority shall flag missing or bad data for operator display and archival purposes.
- ~~R20.R7.~~ The Balancing Authority shall provide adequate and reliable backup power supplies and shall periodically test these supplies at the Balancing Authority's control center and other critical locations to ensure continuous operation of AGC and vital data recording equipment during loss of the normal power supply.
- ~~R0.~~ The Balancing Authority shall sample data at least at the same periodicity with which ACE is calculated. The Balancing Authority shall flag missing or bad data for operator display and archival purposes. The Balancing Authority shall collect coincident data to the greatest practical extent, i.e., ACE, Interconnection frequency, Net Actual Interchange, and other data shall all be sampled at the same time.

~~R0.~~ Each Balancing Authority shall at least annually check and calibrate its time error and frequency devices against a common reference. The Balancing Authority shall adhere to the minimum values for measuring devices as listed below:

Device	Accuracy
Digital frequency transducer	≤ 0.001 Hz
MW, MVAR, and voltage transducer	≤ 0.25 % of full scale
Remote terminal unit	≤ 0.25 % of full scale
Potential transformer	≤ 0.30 % of full scale
Current transformer	≤ 0.50 % of full scale

K.C. Measures

Not specified.

L.D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Balancing Authorities shall be prepared to supply data to NERC in the format defined below:

- 1.1.1. Within one week upon request, Balancing Authorities shall provide NERC or the Regional Reliability Organization CPS source data in daily CSV files with time stamped one minute averages of: 1) ACE and 2) Frequency Error.
- 1.1.2. Within one week upon request, Balancing Authorities shall provide NERC or the Regional Reliability Organization DCS source data in CSV files with time stamped scan rate values for: 1) ACE and 2) Frequency Error for a time period of two minutes prior to thirty minutes after the identified Disturbance.

1.2. Compliance Monitoring Period and Reset Timeframe

Not specified.

1.3. Data Retention

- 1.3.1. Each Balancing Authority shall retain its ACE, actual frequency, Scheduled Frequency, Net Actual Interchange, Net Scheduled Interchange, Tie Line meter error correction and Frequency Bias Setting data in digital format at the same scan rate at which the data is collected for at least one year.
- 1.3.2. Each Balancing Authority or Reserve Sharing Group shall retain documentation of the magnitude of each Reportable Disturbance as well as the ACE charts and/or samples used to calculate Balancing Authority or Reserve Sharing Group disturbance recovery values. The data shall be retained for one year following the reporting quarter for which the data was recorded.

1.4. Additional Compliance Information

Not specified.

2. Levels of Non-Compliance

Not specified.

M.E. Regional Differences

None identified.

N.F. Associated Documents

1. Appendix 1 — Interpretation of Requirement R17 (February 12, 2008).

Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by NERC Board of Trustees	New
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
0a	December 19, 2007	Added Appendix 1 – Interpretation of R17 approved by BOT on May 2, 2007	Addition
0a	January 16, 2008	Section F: added “1.”; changed hyphen to “en dash.” Changed font style for “Appendix 1” to Arial	Errata
0b	February 12, 2008	Replaced Appendix 1 – Interpretation of R17 approved by BOT on February 12, 2008 (BOT approved retirement of Interpretation included in BAL-005-0a)	Replacement
0.1b	October 29, 2008	BOT approved errata changes; updated version number to “0.1b”	Errata
0.1b	May 13, 2009	FERC approved – Updated Effective Date	Addition
0.2b	March 8, 2012	Errata adopted by Standards Committee; (replaced Appendix 1 with the FERC-approved revised interpretation of R17 and corrected standard version referenced in Interpretation by changing from “BAL-005-1” to “BAL-005-0)	Errata
0.2b	September 13, 2012	FERC approved – Updated Effective Date	Addition
0.2b	February 7, 2013	R2 and associated elements approved by NERC Board of Trustees for retirement as part of the Paragraph 81 project (Project 2013-02) pending applicable regulatory approval.	

Appendix 1

Effective Date: August 27, 2008 (U.S.)

Interpretation of BAL-005-0 Automatic Generation Control, R17

Request for Clarification received from PGE on July 31, 2007

PGE requests clarification regarding the measuring devices for which the requirement applies, specifically clarification if the requirement applies to the following measuring devices:

- *Only equipment within the operations control room*
- *Only equipment that provides values used to calculate AGC ACE*
- *Only equipment that provides values to its SCADA system*
- *Only equipment owned or operated by the BA*
- *Only to new or replacement equipment*
- *To all equipment that a BA owns or operates*

BAL-005-0

R17. Each Balancing Authority shall at least annually check and calibrate its time error and frequency devices against a common reference. The Balancing Authority shall adhere to the minimum values for measuring devices as listed below:

Device	Accuracy
Digital frequency transducer	≤ 0.001 Hz
MW, MVAR, and voltage transducer	≤ 0.25% of full scale
Remote terminal unit	≤ 0.25% of full scale
Potential transformer	≤ 0.30% of full scale
Current transformer	≤ 0.50% of full scale

Existing Interpretation Approved by Board of Trustees May 2, 2007

BAL-005-0, Requirement 17 requires that the Balancing Authority check and calibrate its control room time error and frequency devices against a common reference at least annually. The requirement to “annually check and calibrate” does not address any devices outside of the operations control room.

The table represents the design accuracy of the listed devices. There is no requirement within the standard to “annually check and calibrate” the devices listed in the table, unless they are included in the control center time error and frequency devices.

Interpretation provided by NERC Frequency Task Force on September 7, 2007 and Revised on November 16, 2007

As noted in the existing interpretation, BAL-005-0 Requirement 17 applies only to the time error and frequency devices that provide, or in the case of back-up equipment may provide, input into the reporting or compliance ACE equation or provide real-time time error or frequency information to the system operator. Frequency inputs from other sources that are for reference only are excluded. The time error and frequency measurement devices may not necessarily be located in the system operations control room or owned by the Balancing Authority; however the Balancing Authority has the responsibility for the accuracy of the frequency and time error measurement devices. No other devices are included in R 17. The other devices listed in the table at the end of R17 are for reference only and do not have any mandatory calibration or accuracy requirements.

New or replacement equipment that provides the same functions noted above requires the same calibrations. Some devices used for time error and frequency measurement cannot be calibrated as such. In this case, these devices should be cross-checked against other properly calibrated equipment and replaced if the devices do not meet the required level of accuracy.