Comment Report

Project Name: 2015-09 Establish and Communicate System Operating Limits | FAC-014-3

Comment Period Start Date: 2/19/2021
Comment Period End Date: 4/5/2021

Associated Ballots: 2015-09 Establish and Communicate System Operating Limits FAC-014-3 AB 5 ST

There were 43 sets of responses, including comments from approximately 122 different people from approximately 93 companies representing 10 of the Industry Segments as shown in the table on the following pages.

Questions

- 1. The SDT made revisions to FAC-014-3 in response to comments, namely with the inclusion of time horizons on the subparts of R5 and an annual reporting requirement in R5.6. Do you agree with the revisions? If not, please explain why.
- 2. The SDT received numerous comments regarding whether CIP-002.5.1a should be revised based upon the drafting team's revisions to FAC-011 and FAC-014. The SDT is not revising CIP-002.5.1a and provided a rationale document describing its reasoning with this posting. Do you agree with not revising CIP-002.5.1a and the reasoning provided? If not, please explain why?
- 3. If you have any other comments regarding FAC-014-3 that you haven't already provided, please provide them here.

Organization Name	Name	Segment(s)	Region	Group Name	Group Member Name	Group Member Organization	Group Member Segment(s)	Group Member Region
	Gregory Campoli	Sta Re	ISO/RTO Standards Review Committee	Gregory Campoli	New York Independent System Operator	2	NPCC	
				Helen Lainis	IESO	2	NPCC	
					Michael Del Viscio	PJM	2	RF
					Charles Yeung	Southwest Power Pool, Inc. (RTO)	2	MRO
					Bobbi Welch	Midcontinent ISO, Inc.	2	RF
					Ali Miremadi	CAISO	2	WECC
					Kahtleen Goodman	ISO-NE	2	NPCC
Jennie Wike Jennie Wik	Jennie Wike	ennie Wike WECC		Tacoma Power	Jennie Wike	Tacoma Public Utilities	1,3,4,5,6	WECC
					John Merrell	Tacoma Public Utilities (Tacoma, WA)	1	WECC
				Marc Donaldson	Tacoma Public Utilities (Tacoma, WA)	3	WECC	
					Hien Ho	Tacoma Public Utilities (Tacoma, WA)	4	WECC
					Terry Gifford	Tacoma Public Utilities (Tacoma, WA)	6	WECC
					Ozan Ferrin	Tacoma Public Utilities (Tacoma, WA)	5	WECC
ACES Power Marketing	Jodirah Green		MRO,NA - Not Applicable,RF,SERC,Texas RE,WECC	ACES exas Standard Collaborations	Bob Solomon	Hoosier Energy Rural Electric Cooperative, Inc.	1	SERC
					Kevin Lyons	Central Iowa Power Cooperative	1	MRO

					Bill Hutchison	Southern Illinois Power Cooperative	1	SERC
					David Hartman	Arizona Electric Power Cooperative	1	WECC
					Susan Sosbe	Wabash Valley Power Association	3	RF
DTE Energy - Detroit Edison Company		3		DTE Energy - DTE Electric	Adrian Raducea	DTE Energy - Detroit Edison Company	5	RF
					Daniel Herring	DTE Energy - DTE Electric	4	RF
					Karie Barczak	DTE Energy - DTE Electric	3	RF
MRO	MRO Kendra Buesgens 1,2,3,4,5,6 MRO	MRO	MRO NSRF	Bobbi Welch	Midcontinent ISO, Inc.	2	MRO	
				Christopher Bills	City of Independence Power & Light	4	MRO	
					Fred Meyer	Algonquin Power Co.	1	MRO
					Jamie Monette	Allete - Minnesota Power, Inc.	1	MRO
				Jodi Jensen	Western Area Power Administration - Upper Great Plains East (WAPA)		MRO	
				John Cha	John Chang	Manitoba Hydro	1,3,6	MRO
				Larry Heckert	Alliant Energy Corporation Services, Inc.	4	MRO	
				Marc Gomez	Southwestern Power Administration		MRO	
				Matthew Harward	Southwest Power Pool, Inc.	2	MRO	
					LaTroy Brumfield	American Transmission	1	MRO

						Company, LLC		
			Bryan Sherrow	Kansas City Board Of Public Utilities	1	MRO		
					Terry Harbour	MidAmerican Energy	1,3	MRO
					Jamison Cawley	Nebraska Public Power	1,3,5	MRO
					Seth Shoemaker	Muscatine Power & Water	1,3,5,6	MRO
					Michael Brytowski	Great River Energy	1,3,5,6	MRO
					Jeremy Voll	Basin Electric Power Cooperative	1,3,5	MRO
					Joe DePoorter	Madison Gas and Electric	4	MRO
					David Heins	Omaha Public Power District	1,3,5,6	MRO
Duke Energy	Kim	m 1,3,5,6 nomas	FRCC,RF,SERC,Texas RE	Duke Energy	Laura Lee	Duke Energy	1	SERC
	Inomas				Dale Goodwine	Duke Energy	5	SERC
					Greg Cecil	Duke Energy	6	RF
FirstEnergy - FirstEnergy Corporation	Mark Garza	lark Garza 4		FE Voter	Julie Severino	FirstEnergy - FirstEnergy Corporation	1	RF
					Aaron Ghodooshim	FirstEnergy - FirstEnergy Corporation	3	RF
					Robert Loy	FirstEnergy - FirstEnergy Solutions	5	RF
					Ann Carey	FirstEnergy - FirstEnergy Solutions	6	RF
					Mark Garza	FirstEnergy- FirstEnergy	4	RF
Southern Company - Southern Company Services, Inc.	Pamela Hunter	1,3,5,6	SERC	Southern Company	Matt Carden	Southern Company - Southern Company Services, Inc.	1	SERC

				Joel Dembowski	Southern Company - Alabama Power Company	3	SERC	
					Ron Carlsen	Southern Company - Southern Company Generation	6	SERC
					Jim Howell	Southern Company - Southern Company Services, Inc. - Gen	5	SERC
Northeast Power Coordinating Council	Power Coordinating	NPCC	NPCC Regional Standards Committee	Guy V. Zito	Northeast Power Coordinating Council	10	NPCC	
				Randy MacDonald	New Brunswick Power	2	NPCC	
				Glen Smith	Entergy Services	4	NPCC	
				Alan Adamson	New York State Reliability Council	7	NPCC	
				David Burke	Orange & Rockland Utilities	3	NPCC	
					Helen Lainis	IESO	2	NPCC
					David Kiguel	Independent	7	NPCC
				Nick Kowalczyk	Orange and Rockland	1	NPCC	
				Joel Charlebois	AESI - Acumen Engineered Solutions International Inc.	5	NPCC	
					Mike Cooke	Ontario Power Generation, Inc.	4	NPCC

Salvatore Spagnolo	New York Power Authority	1	NPCC
Shivaz Chopra	New York Power Authority	5	NPCC
Deidre Altobell	Con Ed - Consolidated Edison	4	NPCC
Dermot Smyth	Con Ed - Consolidated Edison Co. of New York	1	NPCC
Peter Yost	Con Ed - Consolidated Edison Co. of New York	3	NPCC
Cristhian Godoy	Con Ed - Consolidated Edison Co. of New York	6	NPCC
Sean Bodkin	Dominion - Dominion Resources, Inc.	6	NPCC
Nurul Abser	NB Power Corporation	1	NPCC
Randy MacDonald	NB Power Corporation	2	NPCC
Michael Ridolfino	Central Hudson Gas and Electric	1	NPCC
Vijay Puran	NYSPS	6	NPCC
ALAN ADAMSON	New York State Reliability Council	10	NPCC
Sean Cavote	PSEG - Public Service Electric and Gas Co.	1	NPCC
Brian Robinson	Utility Services	5	NPCC
Quintin Lee	Eversource Energy	1	NPCC
Jim Grant	NYISO	2	NPCC

	FAC-014-3 in response to comments, namely with the inclusion of time horizons on the subparts of R5 and an in R5.6. Do you agree with the revisions? If not, please explain why.
LaTroy Brumfield - American	Transmission Company, LLC - 1
Answer	No
Document Name	
Comment	
the NERC time horizon defintion to be Operations Planning since	equirement R5.4, the focus is on the Operational Planning Analysis, which NERC defines as a next day analysis. Given ns (https://www.nerc.com/pa/Stand/Resources/Documents/Time_Horizons.pdf), the only applicable time horizon appears a Same-day Operations applies to "the timeframe of a day" and Real-time Operations applies to "one hour or less". In the believes these time horizons do apply, we recommend that the team update the rationale requirements document to orizons apply to the OPA.
Likes 0	
Dislikes 0	
Response	
Scott Miller - Scott Miller On I	Behalf of: David Weekley, MEAG Power, 3, 1; Roger Brand, MEAG Power, 3, 1; - Scott Miller
Answer	No
Document Name	
Comment	
	requirement, Southern thinks it would be more appropriate to provide the information initially and then provide as "within 90 days of a change." Southern suggests that would be true for all of R5, not just R5.6.
Likes 0	
Dislikes 0	
Response	
Marty Hostler - Northern Calif	fornia Power Agency - 3,4,5,6
Answer	No
Document Name	
Comment	
The standard is not results-base	ed.

Unfortunately, this project is six years old a	nd needs to end.
Likes 0	
Dislikes 0	
Response	
Pamela Hunter - Southern Company - So	outhern Company Services, Inc 1,3,5,6 - SERC, Group Name Southern Company
Answer	No
Document Name	
Comment	
the information changes. Several changes of the annual update is unnecessary if the information of the "Time Horizon" in R5.1-If this introduce un-necessary confusion for the RC deems appropriate. For instance, it	ropriate to provide information initially and then provide information within a certain prescribed timeframe as could occur within the annual period and users would not have the most up to date information. Additionally, ormation does not change. R5.6 does not provide useful clarification as R5 already indicates the applicable time horizons. Not only does be RC in addressing the requirements, it appears to limit the flexibility in providing the SOL/IROL information appears the RC is limited in R5.1 and R5.2 to only provide SOLs/IROLs identified in the Operations or removing the addition of the "Time Horizons" in R5.1-R5.6.
Likes 0	
Dislikes 0	
Response	
Rob Watson - Choctaw Generation Limit	ed Partnership, LLLP - 5
Answer	No
Document Name	
Comment	
See comments from Southern Company.	
Likes 0	
Dislikes 0	
Response	
Richard Jackson - U.S. Bureau of Reclan	nation - 1

Answer	Yes
Document Name	
Comment	
none	
Likes 0	
Dislikes 0	
Response	
Douglas Webb - Douglas Webb On Beha Thomas ROBBEN, Evergy, 6, 1, 3, 5; - Do	If of: Allen Klassen, Evergy, 6, 1, 3, 5; Derek Brown, Evergy, 6, 1, 3, 5; Marcus Moor, Evergy, 6, 1, 3, 5; buglas Webb
Answer	Yes
Document Name	
Comment	
Evergy incorporates by reference and supp	orts Edison Electric Institute's response to Question 1.
Likes 0	
Dislikes 0	
Response	
Jamie Johnson - California ISO - 2	
Answer	Yes
Document Name	
Comment	
CAISO agrees with comments submitted by	the ISO/RTO Council (IRC) Standards Review Committee.
Likes 0	
Dislikes 0	
Response	
Leonard Kula - Independent Electricity S	ystem Operator - 2
Answer	Yes
Document Name	

Comment			
N/A.			
Likes 0			
Dislikes 0			
Response			
Wayne Guttormson - SaskPower - 1			
Answer	Yes		
Document Name			
Comment			
Support the MRO NSRF comments.			
Likes 0			
Dislikes 0			
Response			
David Jendras - Ameren - Ameren Service	ces - 3		
Answer	Yes		
Document Name			
Comment			
Ameren agrees with and supports EEI com	ments.		
Likes 0			
Dislikes 0			
Response			
Larry Heckert - Alliant Energy Corporation	on Services, Inc 4		
Answer	Yes		
Document Name			
Comment			

Alliant Energy supports the comments subn	nitted by the MRO NSRF.
Likes 0	
Dislikes 0	
Response	
Mark Gray - Edison Electric Institute - NA	A - Not Applicable - NA - Not Applicable
Answer	Yes
Document Name	
Comment	
EEI supports the inclusion of "at least once of Requirement R5.	every 12 months" to Requirement R5, Part 5.6, as well as the addition of Time Horizons to the various parts
Likes 0	
Dislikes 0	
Response	
Daniel Gacek - Exelon - 1	
Answer	Yes
Document Name	
Comment	
On behalf of Exelon (Segments 1, 3, 5, 6)	
Exelon concurs with the comments submitted	ed by the EEI.
Likes 0	
Dislikes 0	
Response	
Ruida Shu - Northeast Power Coordinati	ng Council - 1,2,3,4,5,6,7,8,9,10 - NPCC, Group Name NPCC Regional Standards Committee
Answer	Yes
Document Name	

Comment				
We support the revisions made by the SDT	to FAC-014-3.			
Likes 0				
Dislikes 0				
Response				
Gregory Campoli - New York Independer	nt System Operator - 2, Group Name ISO/RTO Standards Review Committee			
Answer	Yes			
Document Name				
Comment				
The IRC SRC appreciates the clarification retwelve calendar months." This timeframe shoordinator and any necessary action by as	made by the SDT to the language and applicable Time Horizons in Part 5.6 to specify "at least once every nould allow sufficient analysis to document IROLs that will persist and need monitoring by the Reliability sset owners, per CIP standards.			
Likes 0				
Dislikes 0				
Response				
Brandon Gleason - Electric Reliability Co	ouncil of Texas, Inc 2			
Answer	Yes			
Document Name				
Comment				
None.				
Likes 0				
Dislikes 0				
Response				
Matthew Nutsch - Seattle City Light - 1,3,	,4,5,6 - WECC			
Answer	Yes			
Document Name				
Comment				

Likes 0	
Dislikes 0	
Response	
Bruce Reimer - Manitoba Hydro - 1	
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Kim Thomas - Duke Energy - 1,3,5,6 - SE	RC,RF, Group Name Duke Energy
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
(Tacoma, WA), 3, 1, 4, 5, 6; Marc Donalds	Hien Ho, Tacoma Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; John Merrell, Tacoma Public Utilities son, Tacoma Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; Ozan Ferrin, Tacoma Public Utilities (Tacoma, Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; - Jennie Wike, Group Name Tacoma Power
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	

Laura Nelson - IDACORP - Idaho Power	Company - 1
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Mark Garza - FirstEnergy - FirstEnergy	Corporation - 4, Group Name FE Voter
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Kendra Buesgens - MRO - 1,2,3,4,5,6 - N	IRO, Group Name MRO NSRF
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Maryanne Darling-Reich - Black Hills Co	orporation - 1,3,5,6 - MRO,WECC
Answer	Yes
Document Name	
Comment	

of: Theresa Allard, Minnkota Power Cooperative Inc., 1; - Andy Fuhrman
es
n Company - 3, Group Name DTE Energy - DTE Electric
es
liana Public Service Co 3
es e
n

Jodirah Green - ACES Power Marketing	- 1,3,4,5,6 - MRO,WECC,Texas RE,SERC,RF, Group Name ACES Standard Collaborations	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Dennis Chastain - Tennessee Valley Aut	hority - 1,3,5,6 - SERC	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Jamie Monette - Allete - Minnesota Powe	er, Inc 1	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
James Baldwin - Lower Colorado River Authority - 1		
Answer	Yes	
Document Name		
Comment		

Likes 0	
Dislikes 0	
Response	
Gladys DeLaO - CPS Energy - 1	
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Rachel Coyne - Texas Reliability Entity,	nc 10
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
sean erickson - Western Area Power Adı	ministration - 1
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Robert Stevens - CPS Energy - 1,3,5	

Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Teresa Krabe - Lower Colorado River Au	thority - 5
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Daniela Atanasovski - APS - Arizona Puk	olic Service Co 1
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Truong Le - Truong Le On Behalf of: Aaron Casto, Florida Municipal Power Pool, 6; Dale Ray, Florida Municipal Power Agency, 6, 4, 5, 3; - Truong Le	
Answer	Yes
Document Name	
Comment	

Likes 0		
Dislikes 0		
Response		
Aidan Gallegos - PNM Resourc	s - Public Service Company of New Mexico - 1	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Cain Braveheart - Bonneville F	wer Administration - 1,3,5,6 - WECC	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		

2. The SDT received numerous comments regarding whether CIP-002.5.1a should be revised based upon the drafting team's revisions to FAC-011 and FAC-014. The SDT is not revising CIP-002.5.1a and provided a rationale document describing its reasoning with this posting. Do you agree with not revising CIP-002.5.1a and the reasoning provided? If not, please explain why?	
Truong Le - Truong Le On Behalf of: Aar Truong Le	on Casto, Florida Municipal Power Pool, 6; Dale Ray, Florida Municipal Power Agency, 6, 4, 5, 3; -
Answer	No
Document Name	
Comment	
Support Texas RE's comments.	
Likes 0	
Dislikes 0	
Response	
Rachel Coyne - Texas Reliability Entity, I	nc 10
Answer	No
Document Name	
Comment	

Texas RE does not agree with not revising CIP-002-5.1. First, Texas RE notes that while PCs and TPs were removed from identifying IROLs in FAC-014, CIP-002 and CIP-014 still reference the PCs and TPs identifying Interconnection Reliability Operating Limits (IROLs). Second, since the RC does not have a timeframe for identifying SOLs, there could be a gap in that CIP protections may not occur for up to 24 months in accordance with the CIP-002-5.1 Implementation Plan.

Section 2.6 of the Impact Criteria of CIP-002-5.1, states that the PC and TP identify generation that is critical to the derivation of IROLs. Section 4.1.1.3 of the Applicability section of CIP-014-2 does this as well. However, FAC-014-3 removed the requirements for the PC and TP to establish IROLs. While the SDT indicates that PCs and TPs may continue to conduct planning assessments and provide Corrective Action Plans (CAPs) to address identified system deficiencies to their RCs, there ultimately is no definitive obligation within the NERC Reliability Standards for PCs and TPs to explicitly identify generation critical to the derivation of IROLs. From Texas RE's perspective, this results in reliability gaps because the TPL-001 planning assessment process does not explicitly incorporate the specific IROL derivation reliability task.

Texas RE believes that this gap has important consequences for the timing of the identification of IROLs and the corresponding implementation of CIP controls. Given that the TPs and PCs were removed from establishing IROLs in FAC-014-3, no identity is explicitly responsible for identifying IROLs in the planning horizon. Texas RE recommends explicitly keeping the TPs and PCs involved with this process in CIP-002 and CIP-014. Having the PCs and TPs conduct this analysis in the planning horizon many months or years prior to the IROL being established allows time for the generation and Transmission Facilities to establish CIP protections on the IROL.

Since FAC-014-3 does not include a time-frame specified for the RC to establish IROLs and no studies are required by the RC until a day prior to Real-time operations (OPA), the RC may not identify these Facilities before that point. Since the implementation plan for CIP-002-5.1 allows for an implementation period of 12 or 24 months depending on the scenario, this could result in a Facility that is determined to be critical to the derivation of an IROL not having adequate cyber and physical security controls for a period of up to 24 months.

This could be resolved by revising the impact criteria in CIP-002 and the applicability in CIP-014. In section 2.6 of the impact criteria for CIP-002, Texas RE recommends removing the reference to PCs and TPs, as they are no longer involved with identifying IROLs per FAC-14-3. Texas RE further recommends adding an additional criterion with the following verbiage: Facilities identified by the Planning Coordinator or Transmission Planner, per its Planning Assessment of the Near-Term Transmission Planning Horizon or its Transfer Capability Assessment (Planning Coordinator only) as a Facility that if lost or degraded are expected to result in instances of instability, Cascading, or uncontrolled separation. This verbiage is consistent with the applicability section of FAC-003-5.

In CIP-014, Texas RE recommends revising section 4.1.1.3 of the Applicability to: Facilities identified by the Planning Coordinator or Transmission Planner, per its Planning Assessment of the Near-Term Transmission Planning Horizon or its Transfer Capability Assessment (Planning Coordinator only) as a Facility that if lost or degraded are expected to result in instances of instability, Cascading, or uncontrolled separation.

These changes would explicitly allow for the PC and TPs to be involved with identifying Facilities that if lost or degraded are expected to result in instances of instability, Cascading, or uncontrolled separation. Doing this in the planning horizon will allow for the identified Facilities to establish CIP protections much earlier in the process, reducing the potential reliability issues posed by such critical Facilities.

Likes 0	
Dislikes 0	
Response	
Bruce Reimer - Manitoba Hydro - 1	
Answer	No
Document Name	
Commont	

Comment

We agree that the CIP-002.5.1a criterion 2.6 can be retained without changes, but the Guidelines and Technical Basis as part of CIP-002-5.1a standard will need to be updated to reflect and align with FAC-014 R5 changes (see references cited for Criterion 2.6 at the bottom of page 25 and page 28 of CIP-002.5.1a). Without this linkage, Generator Owners or Transmission Owner receiving information pursuant to FAC-014-3 for the first time may fail to make the correlation to CIP-002-5.1a resulting in missing the identification of medium impot BES Facilities.

Likes 0	
Dislikes 0	

Response

Brandon Gleason - Electric Reliability C	ouncil of Texas, Inc 2
Answer	Yes
Document Name	
Comment	
None.	
Likes 0	
Dislikes 0	
Response	
Gregory Campoli - New York Independe	nt System Operator - 2, Group Name ISO/RTO Standards Review Committee
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Ruida Shu - Northeast Power Coordinat	ing Council - 1,2,3,4,5,6,7,8,9,10 - NPCC, Group Name NPCC Regional Standards Committee
Answer	Yes
Document Name	
Comment	
We support the SDT not revising CIP-002-	5.1a.
Likes 0	
Dislikes 0	
Response	
Daniel Gacek - Exelon - 1	

Answer	Yes
Document Name	
Comment	
On behalf of Exelon (Segments 1, 3, 5, 6)	
Exelon concurs with the comments submitted	ed by the EEI.
Likes 0	
Dislikes 0	
Response	
Mark Gray - Edison Electric Institute - NA	\ - Not Applicable - NA - Not Applicable
Answer	Yes
Document Name	
Comment	
	re Technical Rationale document titled "Technical Rationale for Exclusion of CIP Criteria Modifications by re are no reliability gaps resulting from the retirement of FAC-010.
Likes 0	
Dislikes 0	
Response	
Larry Heckert - Alliant Energy Corporation	on Services, Inc 4
Answer	Yes
Document Name	
Comment	
Alliant Energy supports the comments subn	nitted by the MRO NSRF.
Likes 0	
Dislikes 0	
Response	
David Jendras - Ameren - Ameren Service	ces - 3

Answer	Yes	
Document Name		
Comment		
Ameren agrees with and supports EEI com	ments.	
Likes 0		
Dislikes 0		
Response		
Wayne Guttormson - SaskPower - 1		
Answer	Yes	
Document Name		
Comment		
Support the MRO NSRF comments.		
Likes 0		
Dislikes 0		
Response		
Leonard Kula - Independent Electricity S	ystem Operator - 2	
Answer	Yes	
Document Name		
Comment		
N/A.		
Likes 0		
Dislikes 0		
Response		
Marty Hostler - Northern California Powe	er Agency - 3,4,5,6	
Answer	Yes	
Document Name		

Comment	
CIP-002.5.1.a was already revised, vetted by	by industry and by NERC, approved by all, then submitted to FERC. Recently NERC withdrew it.
The CIP virtualization project is also modify	ring it. Very confusing. Please no more changes.
Likes 0	
Dislikes 0	
Response	
Jamie Johnson - California ISO - 2	
Answer	Yes
Document Name	
Comment	
CAISO agrees with comments submitted by	y the ISO/RTO Council (IRC) Standards Review Committee.
Likes 0	
Dislikes 0	
Response	
Douglas Webb - Douglas Webb On Beha Thomas ROBBEN, Evergy, 6, 1, 3, 5; - Do	ulf of: Allen Klassen, Evergy, 6, 1, 3, 5; Derek Brown, Evergy, 6, 1, 3, 5; Marcus Moor, Evergy, 6, 1, 3, 5 ouglas Webb
Answer	Yes
Document Name	
Comment	
Evergy incorporates by reference and supp	orts Edison Electric Institute's response to Question 2.
Likes 0	
Dislikes 0	
Response	
Richard Jackson - U.S. Bureau of Reclar	nation - 1
Answer	Yes
Document Name	
Comment	

none		
Likes 0		
Dislikes 0		
Response		
Rob Watson - Choctaw Generation Limit	ed Partnership, LLLP - 5	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Pamela Hunter - Southern Company - Southern Company Services, Inc 1,3,5,6 - SERC, Group Name Southern Company		
Answer	Yes	
Answer Document Name	Yes	
	Yes	
Document Name	Yes	
Document Name	Yes	
Document Name Comment	Yes	
Document Name Comment Likes 0	Yes	
Document Name Comment Likes 0 Dislikes 0	Yes	
Document Name Comment Likes 0 Dislikes 0		
Document Name Comment Likes 0 Dislikes 0 Response		
Document Name Comment Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Adm	ninistration - 1,3,5,6 - WECC	
Document Name Comment Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Adm Answer	ninistration - 1,3,5,6 - WECC	
Document Name Comment Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Adm Answer Document Name Comment	ninistration - 1,3,5,6 - WECC	
Document Name Comment Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Adm Answer Document Name	ninistration - 1,3,5,6 - WECC	
Document Name Comment Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Adm Answer Document Name Comment	ninistration - 1,3,5,6 - WECC	

Aidan Gallegos - PNM Resources - Public Service Company of New Mexico - 1		
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Daniela Atanasovski - APS - Arizona Puk	olic Service Co 1	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Teresa Krabe - Lower Colorado River Au	ithority - 5	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Robert Stevens - CPS Energy - 1,3,5		
Answer	Yes	
Document Name		
Comment		

Likes 0		
Dislikes 0		
Response		
sean erickson - Western Area Power Adr	ninistration - 1	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Gladys DeLaO - CPS Energy - 1		
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
James Baldwin - Lower Colorado River Authority - 1		
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
i coponac		

Jamie Monette - Allete - Minnesota Power, Inc 1		
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Dennis Chastain - Tennessee Valley Aut	hority - 1,3,5,6 - SERC	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Jodirah Green - ACES Power Marketing	- 1,3,4,5,6 - MRO,WECC,Texas RE,SERC,RF, Group Name ACES Standard Collaborations	
Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		
Steven Taddeucci - NiSource - Northern	Indiana Public Service Co 3	
Answer	Yes	
Document Name		
Comment		

Maryanne Darling-Reich - Black Hills Co	rporation - 1,3,5,6 - MRO,WECC
Response	
Dislikes 0	
Likes 0	
Committent	
Document Name Comment	
Answer Decument Name	Yes
	alf of: Theresa Allard, Minnkota Power Cooperative Inc., 1; - Andy Fuhrman
Response	
Dislikes 0	
Likes 0	
Comment	
Document Name	
Answer	Yes
	son Company - 3, Group Name DTE Energy - DTE Electric
Response	
Dislikes 0	
Likes 0	
Comment	
Document Name	
Answer	Yes
Scott Miller - Scott Miller On Behalf of: D	avid Weekley, MEAG Power, 3, 1; Roger Brand, MEAG Power, 3, 1; - Scott Miller
Response	
Dislikes 0	
LIKES U	

Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Kendra Buesgens - MRO - 1,2,3,4,5,6 - M	RO, Group Name MRO NSRF
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Mark Garza - FirstEnergy - FirstEnergy C	corporation - 4, Group Name FE Voter
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Laura Nelson - IDACORP - Idaho Power	Company - 1
Answer	Yes
Document Name	
Comment	
Likes 0	

Dislikes 0	
Response	
LaTroy Brumfield - American Transmiss	ion Company, LLC - 1
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
(Tacoma, WA), 3, 1, 4, 5, 6; Marc Donalds	Hien Ho, Tacoma Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; John Merrell, Tacoma Public Utilities son, Tacoma Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; Ozan Ferrin, Tacoma Public Utilities (Tacoma, Public Utilities (Tacoma, WA), 3, 1, 4, 5, 6; - Jennie Wike, Group Name Tacoma Power
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Kim Thomas - Duke Energy - 1,3,5,6 - SE	RC,RF, Group Name Duke Energy
Answer	Yes
Document Name	
Comment	
Likes 0	
Dislikes 0	
Response	
Matthew Nutsch - Seattle City Light - 1,3	,4,5,6 - WECC

Answer	Yes	
Document Name		
Comment		
Likes 0		
Dislikes 0		
Response		

3. If you have any other comments regarding FAC-014-3 that you haven't already provided, please provide them here.	
Matthew Nutsch - Seattle City Light - 1,3	4,5,6 - WECC
Answer	
Document Name	
Comment	
Nothing to add	
Likes 0	
Dislikes 0	
Response	
Kim Thomas - Duke Energy - 1,3,5,6 - SE	RC,RF, Group Name Duke Energy
Answer	
Document Name	
Comment	
None.	
Likes 0	
Dislikes 0	
Response	
Thomas Foltz - AEP - 5	
Answer	
Document Name	
Comment	

AEP has expressed its concerns in previous comment periods regarding the proposed revisions to FAC-014. A majority of those concerns and comments still stand and will not be restated again in their entirety in this current comment period. We would, however, like to offer the following thoughts and suggestions for consideration.

AEP thanks the drafting team for clarification on the meaning of "stability criteria" within R6. However, we find no reason why stability criteria consisting of acceptable power swing damping level, transient voltage dip and recovery durations, the necessity for the system to remain stable, and contingency definitions used in studies to evaluate stability would be any different in operations versus planning time-frames. We believe that the practical effect of including stability criteria in R6 will be to produce unnecessary administrative paperwork.

inference that this "move" might not happen changes should be dealt with *only* as part previously stated, rather than pursuing such transferring the proposed R6, R7 and R8 or Drafting Team is unintentionally encroachin best served if drafted and reviewed from a their necessity in view of the potential for nuaction plans. In closing, while AEP has once again chose	ture consideration might be given to moving R6, R7 and R8 into TPL-001, we do remained concerned by the until *after* these three requirements are first placed within FAC-014. We believe efforts to pursue such of revising TPL-001, rather than *moving* them from FAC-014 to TPL-001 sometime in the future. As a changes within FAC-014, AEP recommends removing "stability criteria" from the proposed R6 and ver to a TPL-001 Standards Drafting Team. While well intentioned, we believe the Project 2015-09 Standards g on the TPL domain by proposing such requirements be placed within FAC-014. These requirements are transmission Planner perspective, as these individuals would be in the best position to properly evaluate ullification, or by possible reliance on operational actions and system adjustments not considered corrective and to vote negative as driven by the concerns stated above, we appreciate the efforts of the standards upporting such an effort provided a) "stability criteria" is removed from the proposed R6 and b) by dealing to revise TPL-001.
Likes 0	
Dislikes 0	
Response	
Mark Garza - FirstEnergy - FirstEnergy C	orporation - 4, Group Name FE Voter
Answer	
Document Name	
Comment	
N/A	
Likes 0	
Dislikes 0	
Response	
Douglas Webb - Douglas Webb On Beha Thomas ROBBEN, Evergy, 6, 1, 3, 5; - Do	If of: Allen Klassen, Evergy, 6, 1, 3, 5; Derek Brown, Evergy, 6, 1, 3, 5; Marcus Moor, Evergy, 6, 1, 3, 5; buglas Webb
Answer	
Document Name	
Comment	
Evergy incorporates by reference and supp	orts Edison Electric Institute's response to Question 3.
Likes 0	
Dislikes 0	
Response	

Jamie Johnson - California ISO - 2	
Answer	
Document Name	
Comment	
The CAISO Planning Coordinator recommen	nds the following changes to the draft FAC-014-3 :
Sbull; Requirements R6 to R8 be removed f	rom FAC-014-3.
	uld be addressed in TPL-001, which governs Planning Assessments, rather than in FAC-014-3. Keeping will avoid inconsistency, retain the overall context of the requirements, increase efficiency, and avoid undue
Sbull;Requirement R7 is also misplaced and comment above regarding keeping like requ	d should be addressed in TPL-001, which governs Planning Assessments, rather than in FAC-014-3. The irrements together applies here as well.
establishes IROLs. As such, the PC and the derivation of IROLs and their contingencies 014, etc. Requirement R5.6, which requires related needs. If the SDT determines there requirement should be addressed in TPL-00 pecause TPL-001 does not allow planning ereliability of the BES, Requirement R8 is incompletely should be addressed in TPL-001 does not allow planning ereliability of the BES, Requirement R8 is incompletely should be addressed in TPL-014.	rom FAC-014-3 because FAC-014-3 makes the Reliability Coordinator (RC) the sole functional entity that the TP that no longer establish IROLs should not be required to provide facilities that are critical to the to the impacted Transmission Owner (TO) and Generation Owner (GO) in accordance with CIP-002, CIP-16 the RC to provide such information to the impacted TO and GO, should be sufficient to address their IROL-16 is Planning Assessment related information that the PC and TP should provide to the TO and GO, the 17 that governs their Planning Assessment, rather than in FAC-014, to keep like requirements together. Also, event Contingency(ies) to cause instability, Cascading or uncontrolled separation that adversely impacts the consistent with TPL-001. Sessment performance criteria is coordinated with these methodologies." be removed from the Purpose ansmission Planner be removed from the Applicability Section (Section 4).
ikes 0	
Dislikes 0	
Response	
Michael Jones - National Grid USA - 1	
Answer	
Document Name	
Comment	
	ency(ies): We request the Standard Drafting Team clarify the use of the word "critical" to describe ngency(ies)" is undefined and opens Requirement R5, subpart 5.2.4 to interpretation.

Please consider revising the subparts of 5.2 (Requirement R5) as follows:

5.2.4 A description of system conditions ass	; re critical to the derivation of the stability limit or the IROL and the associated Contingency(ies);
Likes 0	
Dislikes 0	
Response	
Kendra Buesgens - MRO - 1,2,3,4,5,6 - M	RO, Group Name MRO NSRF
Answer	
Document Name	
Comment	
RC's process. Suggest the RC should be rec	nethodologies, limits, criteria, etc, by the RC with the PC/TP should occur earlier in the questing review and comments from the PC/TP. e with the PC/TP's criteria as the PC/TP determines what adequate investment into the system is.
Likes 0	
Dislikes 0	
Response	
Andy Fuhrman - Andy Fuhrman On Beha	ılf of: Theresa Allard, Minnkota Power Cooperative Inc., 1; - Andy Fuhrman
Answer	
Document Name	
Comment	
MPC supports MRO NERC Standards Revi	ew Forum comments.
Likes 0	
Dislikes 0	
Response	
Karie Barczak - DTE Energy - Detroit Edi	son Company - 3, Group Name DTE Energy - DTE Electric

Answer	
Document Name	
Comment	
not at this time, thank you.	
Likes 0	
Dislikes 0	
Response	
Marty Hostler - Northern California Powe	r Agency - 3,4,5,6
Answer	
Document Name	
Comment	
Let move foward with the Standards Effiecie	ency Review Porject to get rid of non Results based Standards, redunancy in Standards, and inefficiencies.
Likes 0	
Dislikes 0	
Response	
Steven Taddeucci - NiSource - Northern	Indiana Public Service Co 3
Answer	
Document Name	
Comment	
No comments	
Likes 0	
Dislikes 0	
Response	
Leonard Kula - Independent Electricity S	ystem Operator - 2
Answer	
Document Name	

Comment	
N/A.	
Likes 0	
Dislikes 0	
Response	
Jodirah Green - ACES Power Marketing -	· 1,3,4,5,6 - MRO,WECC,Texas RE,SERC,RF, Group Name ACES Standard Collaborations
Answer	
Document Name	
Comment	
Thank you for the opportunity to provide cor	mments.
Likes 0	
Dislikes 0	
Response	
Jamie Monette - Allete - Minnesota Powe	r, Inc 1
Answer	
Document Name	
Comment	
Minnesota Power agrees with MRO's NERO	C Standards Review Forum's (NSRF) comments.
Likes 0	
Dislikes 0	
Response	
Gladys DeLaO - CPS Energy - 1	
Answer	
Document Name	
Comment	

CPS Energy does not have any comments.	
Likes 0	
Dislikes 0	
Response	
Rachel Coyne - Texas Reliability Entity, I	nc 10
Answer	
Document Name	
Comment	
Texas RE does not have additional commer	nts.
Likes 0	
Dislikes 0	
Response	
Wayne Guttormson - SaskPower - 1	
Answer	
Document Name	
Comment	
Support the MRO NSRF comments.	
Likes 0	
Dislikes 0	
Response	
sean erickson - Western Area Power Adr	ninistration - 1
Answer	
Document Name	
Comment	
Requirement R6 is confusingly written, mair	nly because it confuses the concept of "criteria" and the use of components of criteria.

Each Planning Coordinator and each Transmission Planner shall implement a documented process to use Facility Ratings, System steady-state voltage

limits and stability criteria in its Planning Assessment of Near Term Transmission Planning Horizon that are equally limiting or more limiting than the Facility Ratings, System Voltage Limits and/or stability criteria used, as described in its respective Reliability Coordinator's SOL methodology.	
Likes 0	
Dislikes 0	
Response	
Daniela Atanasovski - APS - Arizona Pub	plic Service Co 1
Answer	
Document Name	
Comment	
None	
Likes 0	
Dislikes 0	
Response	
David Jendras - Ameren - Ameren Service	es - 3
Answer	
Document Name	
Comment	
Ameren agrees with and supports EEI comments.	
Likes 0	
Dislikes 0	
Response	
Larry Heckert - Alliant Energy Corporation	on Services, Inc 4
Answer	
Document Name	
Comment	
Alliant Energy supports the comments subn	nitted by the MRO NSRF.

Likes 0	
Dislikes 0	
Response	
Aidan Gallegos - PNM Resources - Publi	c Service Company of New Mexico - 1
Answer	
Document Name	
Comment	
appears to be an attempt to possibly requir strongly that these modifications to TPL-00	attempt of the SDT to modify TPL-001 and MOD-032. In addition, the proposed changes to FAC-014-3 e additional information and additional coordination between operations and planning. If the SDT feels 1 and MOD-032 are required to support the reliable operation of the BES Facilities, it may be be of benefit of MOD-032 instead of spreading the requirement out across multiple standards.
Likes 0	
Dislikes 0	
Response	
Gregory Campoli - New York Independent System Operator - 2, Group Name ISO/RTO Standards Review Committee	
Answer	
Document Name	
Comment	

The IRC continues to believe that the drafting team should be given the opportunity to address efficiencies identified by the Standards Efficiency Review Project to reduce redundancy in the requirements and exposure to double jeopardy. FAC-013-3 R7 proposed to annually share CAP's with RC's and TOP's. IRO-017 R3 already has the requirement to share the CAP's with RC's. FAC-014-3, continues to say what should be included in that CAP, while TPL-001-4 R2.7 provides the initial requirement for completing a CAP and what should be included.

The IRC SRC continues to believe that the following additional changes to the language in the requirement:

- FAC-011-4 uses the phrase, "System Voltage Limits" (see FAC-011-4 R3). FAC-014 R6 uses a mix of terms such as "System steady state voltage limits" as well as "System Voltage Limits". The IRC SRC recommends that consistent terminology be used across these standards.
- FAC-011-4 uses the phrases, "stability limits", and "stability performance criteria" (see FAC-011-4 R4). FAC-014 R6 uses a mix of terms such as "stability criteria" or just "stability". The IRC SRC recommends that consistent terminology be used across these standards.

In addition, the IRC SRC continues to recommend that the following change be made to R6 to clarify the intent of the requirement:

R6. Each Planning Coordinator and each Transmission Planner shall implement a documented process to use Facility Ratings, System steady state Voltage Limits and stability criteria in its Planning Assessment of the Near Term Transmission Planning Horizon that are equally limiting or more limiting than the the criteria for the use of Facility Ratings, System Voltage Limits and stability criteria described in its respective Reliability Coordinator's SOL methodology. The IRC continues to believe there is confusion with in this requirement. Facility Ratings are provided by asset owners. Is that the case for System Voltage Limits as well. Finally, from a proofreading perspective, the IRC SRC notes there is an incomplete sentence (located as the last sentence in paragraph 2) on page 6 of the Technical Rationale for Reliability Standard FAC-014-3: "Those IROLs which may manifest in real time, due to forced outage..." that needs to be completed or deleted. Likes 0 Dislikes 0 Response Cain Braveheart - Bonneville Power Administration - 1,3,5,6 - WECC Answer **Document Name** Comment BPA continues to be concerned that the Technical Rationale document is apart from the Standard. There appears to be risks associated with this approach as neither an entity nor an auditor are required to consider Technical Rationale guidance when implementing requirements or performing audits, respectively. To remove this potential compliance issue, BPA believes language requiring Facility Ratings and system voltage limits to be equally limiting or more limiting than what's provided by the TOP in accordance with its RC's SOL methodology needs to be explicitly stated in the Standard. Furthermore, BPA believes language requiring that criteria developed and documented for stability performance be equally limiting or more limiting than the criteria in its respective RC's SOL methodology needs to be explicitly stated in the Standard. In consideration of the SDT's comments with regard to the word 'ensure', BPA offers revisions to its comments regarding R6 to replace 'ensure' with 'require'. See below. R6. Each Planning Coordinator and each Transmission Planner shall require that Facility Ratings and system voltage limits used in its Planning Assessment of the Near Term Transmission Planning Horizon are equally limiting or more limiting than the Facility Ratings and system voltage limits provided by the TOP to its RC in accordance with its Reliability Coordinator's SOL methodology. In addition, each Planning Coordinator and each Transmission Planner shall require that criteria developed and documented for stability performance for its Planning Assessment of the Near-Term Transmission Planning Horizon are equally limiting or more limiting than the criteria for stability specified in its respective Reliability Coordinator's SOL methodology. [Violation Risk Factor: Medium] [Time Horizon: Long-term Planning] Likes 0 Dislikes 0 Response

Brandon Gleason - Electric Reliability Council of Texas, Inc 2	
Answer	
Document Name	
Comment	
ERCOT appreciates the Standard Drafting	Feam's revision to the rationale accompanying Requirement R8.
For purposes of further clarification, is Requirement R8 intended to mean that only the owners of the facilities that comprise the planning event contingency(ies) that cause instability, Cascading or uncontrolled separation that adversely impacts the reliability of the BES as identified in the near-term planning assessment need to be notified that certain specific facilities they own are part of a planning event contingency that would cause cause instability, Cascading or uncontrolled separation that adversely impacts the reliability of the BES?	
Likes 0	
Dislikes 0	
Response	
Pamela Hunter - Southern Company - So	uthern Company Services, Inc 1,3,5,6 - SERC, Group Name Southern Company
Answer	
Document Name	
Comment	
	d, termed "Day-Ahead Operations" – operating and resource plans within the day ahead timeframe, to plicability of R1 through R5 consistent with the intended horizon of SOL exceedance determinations.
Likes 0	
Dislikes 0	
Response	
Rob Watson - Choctaw Generation Limite	ed Partnership, LLLP - 5
Answer	
Document Name	
Comment	
See comments from Southern Company.	

Likes 0	
Dislikes 0	
Response	