

Standards, Compliance, and Enforcement Bulletin

May 23–31, 2022

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

| Project | Action | Start Date | End Date |
|---|---|------------|------------|
| NEW 2020-06 Verifications of Models and Data for Generators | Initial Ballots and Non-binding Polls | 06/27/2022 | 07/06/2022 |
| NEW 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination Draft 1 | Initial Ballots and Non-binding Polls | 06/08/2022 | 06/17/2022 |
| Join Ballot Pools | | | |
| Project | Action | Start Date | End Date |
| NEW 2020-06 Verifications of Models and Data for Generators | Join Ballot Pools | 05/20/2022 | 06/21/2022 |
| NEW 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination Draft 1 | Join Ballot Pools | 05/19/2022 | 06/02/2022 |

| Posted for Comment | | | |
|---|--------------------------------|------------|------------|
| Project | Action | Start Date | End Date |
| NEW 2020-06 Verifications of Models and Data for Generators | Comment Period | 05/20/2022 | 07/06/2022 |
| 2022 Periodic Review Standing Review Team Standards Grading | Comment Period | 04/20/2022 | 06/03/2022 |
| NEW 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination Draft 1 | Comment Period | 05/19/2022 | 06/17/2022 |

| OTHER ACTIVE COMMENT PERIODS | | | |
|------------------------------|--------|------------|----------|
| Posted for Comment | | | |
| Posting | Action | Start Date | End Date |
| -- | -- | -- | -- |

STANDARDS NEWS

NEW Project 2020-06 Verifications of Models and Data for Generators | Formal Comment Period Open through July 6, 2022
Ballot Pools Forming through June 21, 2022

[Now Available](#)

A formal comment period for draft Reliability Standard **MOD-026-2 – Verification of Dynamic Models and Data for BES Connected Facilities**, is open through **8 p.m. Eastern, Wednesday, July 6, 2022**.

Commenting

Use the [Standards Balloting and Commenting System \(SBS\)](#) to submit comments. An unofficial Word version of the comment form is posted on the [project page](#).

Ballot Pools

Ballot pools are being formed through **8 p.m. Eastern, Tuesday, June 21, 2022**. Registered Ballot Body members can join the ballot pools [here](#).

- *Contact NERC IT support directly at <https://support.nerc.net/> (Monday – Friday, 8 a.m. - 5 p.m. Eastern) for problems regarding accessing the SBS due to a forgotten password, incorrect credential error messages, or system lock-out.*
- *Passwords expire every **6 months** and must be reset.*

| Quick Links |
|---|
| Register in the SBS |
| Projected Standards Posting Schedule |
| Project Tracking Spreadsheet |
| 2019–2021 Reliability Standards Development Plan |
| Quality Review Application |
| One-Stop Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWs) |
| CIP Standards Efficiency Review |
| Submit Questions or Feedback |

- *The SBS is not supported for use on mobile devices.*
- *Please be mindful of ballot and comment period closing dates. We ask to **allow at least 48 hours** for NERC support staff to assist with inquiries. Therefore, it is recommended that users try logging into their SBS accounts **prior to the last day** of a comment/ballot period.*

Next Steps

Initial ballots for the standard and implementation plan, as well as a non-binding poll of the associated Violation Risk Factors and Violation Severity Levels will be conducted **June 27 – July 6, 2022**.

NEW Project 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination | Formal Comment Period Open through June 17, 2022

[Now Available](#)

A formal comment period for **Project 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination**, is open through **8 p.m. Eastern, Friday, June 17, 2022** for the following standards and implementation plan:

- EOP-012-1 – Extreme Cold Weather Preparedness and Operations
- EOP-011-3 – Emergency Preparedness and Operations
- Implementation Plan

Commenting

Use the [Standards Balloting and Commenting System \(SBS\)](#) to submit comments. An unofficial Word version of the comment form is posted on the [project page](#).

Ballot Pools

Ballot pools are being formed through **8 p.m. Eastern, Thursday, June 2, 2022**. Registered Ballot Body members can join the ballot pools [here](#).

- *Contact NERC IT support directly at <https://support.nerc.net/> (Monday – Friday, 8 a.m. - 5 p.m. Eastern) for problems regarding accessing the SBS due to a forgotten password, incorrect credential error messages, or system lock-out.*
- *Passwords expire every **6 months** and must be reset.*
- *The SBS is not supported for use on mobile devices.*
- *Please be mindful of ballot and comment period closing dates. We ask to **allow at least 48 hours** for NERC support staff to assist with inquiries. Therefore, it is recommended that users try logging into their SBS accounts **prior to the last day** of a comment/ballot period.*

Next Steps

Initial ballots for the standards and implementation plan, as well as non-binding polls of the associated Violation Risk Factors and Violation Severity Levels, will be conducted **June 8 – 17, 2022**.

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Please see the [Statement](#) below regarding FERC action on proposed revisions to the NERC Rules of Procedure related to the Compliance Monitoring and Enforcement Program.

NEW Program Alignment – NERC Added Two Self-Identified Alignment Issues

CMEP Practice Guides address how ERO Enterprise CMEP staff execute compliance monitoring and enforcement activities. They are developed exclusively by the ERO Enterprise under its obligations for independence and objectivity. In some cases, Practice Guides may be developed following policy discussions with industry stakeholders. CMEP Practice Guides are posted on the NERC website for transparency.

NERC added two new self-identified alignment issues pertaining to the CMEP practice guide on considerations for ERO Enterprise CMEP staff (CMEP staff) on *‘Using the Work of Others’* and *‘Registered Entities that Delegate Reliability Tasks to a Third-Party Entity’*. The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#). The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues—anonously, if desired.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project](#)

OTHER NEWS

NEW Extreme Weather Heightens Reliability Risks this Summer

NERC’s *2022 Summer Reliability Assessment* warns that several parts of North America are at elevated or high risk of energy shortfalls this summer due to predicted above-normal temperatures and drought conditions over the western half of the United States and Canada. These above-average seasonal temperatures contribute to high peak demands as well as potential increases in forced outages for generation and some bulk power system equipment. [Full Announcement](#) | [2022 Summer Reliability Assessment](#) | [2022 Summer Reliability Assessment Infographic](#)

NEW GridSecCon 2022 registration is now open. | [Register](#)

NERC, the [E-ISAC](#), and [ReliabilityFirst](#) are co-hosting the 11th grid security conference on October 18–19, with training opportunities available October 17. Once again, GridSecCon will be held virtually. This year attendees can optimize their GridSecCon experience and chose breakout sessions from six conference tracks: cyber or physical security, supply chain issues, diversity and inclusion, human performance, and security policy matters.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

| U.S. Effective Dates for Reliability Standards | |
|--|---|
| U.S. Effective Date | Standard(s) |
| July 1, 2022 | CIP-012-1 – Cyber Security – Communications between Control Centers |
| | PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11) |
| October 1, 2022 | CIP-005-7 – Cyber Security – Electronic Security Perimeter(s) |
| | CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments |
| | CIP-013-2 – Cyber Security – Supply Chain Risk Management |
| | PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources |
| January 1, 2023 | TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1) |
| April 1, 2023 | EOP-011-2 – Emergency Preparedness and Operations |
| | IRO-010-4 – Reliability Coordinator Data Specification and Collection |
| | TOP-003-5 – Operational Reliability Data |
| July 1, 2023 | TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan |
| January 1, 2024 | CIP-004-7 – Cyber Security – Personnel & Training |
| | CIP-011-3 – Cyber Security - Information Protection |
| | TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1) |

U.S. Effective Dates for Reliability Standards

| U.S. Effective Date | Standard(s) |
|---|---|
| April 1, 2024 | FAC-003-5 – Transmission Vegetation Management |
| | FAC-011-4 – System Operating Limits Methodology for the Operations Horizon |
| | FAC-014-3 – Establish and Communicate System Operating Limits |
| | IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments |
| | PRC-023-5 – Transmission Relay Loadability Implementation Plan |
| | PRC-002-3 – Disturbance Monitoring and Reporting Requirements Implementation Plan |
| | PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan |
| TOP-001-6 – Transmission Operations | |

BOARD OF TRUSTEES AND FERC ACTION

Statement on FERC May Open Meeting Action

At its monthly open meeting, the Federal Energy Regulatory Commission (FERC) approved in part and denied in part [NERC's petition](#) for revisions to its Rules of Procedure regarding its compliance monitoring and enforcement program. The order approves revisions for the Personnel Certification and Credential Maintenance Program in ROP section 600, the Training and Education Program in section 900, and Confidential Information in section 1500. The order also approves in part and rejects in part proposed revisions to the Compliance Monitoring and Enforcement Program ("CMEP") in section 400, Appendix 2, and Appendix 4C. The ERO Enterprise will submit a compliance filing within 60 days as directed by the Order.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

Webinars

- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness and Coordination Industry Webinar – 1:00 - 2:30 p.m. Eastern, May 24 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Standards Committee Teleconference WebEx – 1:00-3:00 p.m. Eastern, June 15 | [Register](#)

Standard Drafting Team Meetings

- Project 2021-08 – Modifications to FAC-008 SAR Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 24, 2022 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 24, 2022 | [Register](#)
- Project 2022-02 – Modifications to TPL-001 and MOD-032 SAR Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, May 25, 2022 | [Register](#)
- Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 25, 2022 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 26, 2022 | [Register](#)
- Project 2017-01 – Modifications to BAL-003 Phase II Standard Drafting Team Meeting – 1:00–4:30 p.m. Eastern, May 26, 2022 | [Register](#)
- Project 2021-08 – Modifications to FAC-008 SAR Drafting Team Meeting – 1:00–3:00 p.m. Eastern, May 31, 2022 | [Register](#)
- Project 2021-08 – Modifications to FAC-008 SAR Drafting Team Meeting – 1:00–3:00 p.m. Eastern, June 2, 2022 | [Register](#)
- **NEW** Project 2021-05 – Modifications to PRC-023 Standard Drafting Team Meeting – 2:00-4:00 p.m. Eastern, June 3 | [Register](#)
- **NEW** Project 2021-08 – Modifications to FAC-008 SAR Drafting Meeting – 1:00-3:00 p.m. Eastern, June 7 | [Register](#)
- **NEW** Project 2021-05 – Modifications to PRC-023 Standard Drafting Team Meeting – 2:00-4:00 p.m. Eastern, June 13 | [Register](#)

ERO Enterprise Events

- **SERC** [The Scoop on Ransomware](#) – 9:00 a.m.–12:00 p.m. Eastern, June 15, 2022 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:Amy.Klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.