

Standards, Compliance, and Enforcement Bulletin

August 29–September 5, 2022

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Draft 2	Additional Ballot and Non-binding Poll	08/23/22	09/01/22
Project 2017-01 – Modifications to BAL-003 - Phase II Draft 1	Initial Ballots and Non-binding Poll	08/29/22	09/07/22
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 4	Additional Ballots and Non-binding Poll	09/21/22	09/30/22

Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Draft 2	Comment Period	08/03/22	09/01/22
Project 2017-01 – Modifications to BAL-003 - Phase II Draft 1	Comment Period	07/25/22	09/07/22
Project 2022-04 – EMT Modeling SAR	Comment Period	08/11/22	09/13/22
Project 2016-02 – Modifications to CIP Standards Virtualization - Draft 4	Comment Period	08/17/22	09/30/22

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft White Paper: In light of recent cyber security events and the evolving threat landscape, the NERC Board took action at its February 4, 2021 meeting to direct NERC staff, working with stakeholders, to expeditiously complete its broader review and analysis of degrees of risk presented by various facilities that meet the criteria that define low impact cyber facilities and report on whether those criteria should be modified. In response, NERC staff assembled a team of cyber security experts and compliance experts that represent a cross section of the industry to fairly represent the understanding of the potential threat and risk posed by a coordinated cyber-attack on low impact BES Cyber Systems. The team, called the Low Impact Criteria Review Team, prepared a draft white paper that defines the risk and presents recommended actions to address the risks, including CIP Standards revisions, security guidelines, and risk monitoring activities. The draft white paper is posted for a 45-day industry comment period.</p>	Please submit comments via email .	07/29/22	09/12/22

STANDARDS NEWS

NEW Webinar Resources Posted

NERC posted the [slide presentation](#) and [recording](#) from the Project 2017-01–Modifications to BAL-003 Phase II webinar.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project](#)

OTHER NEWS

NEW Surveys Open for Reliability/Security Guidelines

On January 19, 2021, FERC accepted the NERC-proposed approach for evaluating non-mandatory Reliability/Security Guidelines, as proposed in the Five-Year Assessment proceeding. This evaluation process takes place under the leadership of the Reliability and Security Technical Committee (RSTC) and includes:

- (i) Industry survey on effectiveness of Reliability/Security Guidelines;
- (ii) Triennial review with a recommendation to NERC on the effectiveness of a Reliability/Security Guideline and/or whether risks warrant additional measures; and
- (iii) NERC's determination whether additional action might be appropriate to address potential risks to reliability in light of the RSTC's recommendation and all other data within NERC's possession pertaining to the relevant issue.

All existing Reliability/Security Guidelines are being revised to include metrics to help measure the effectiveness of each Reliability/Security Guideline. In addition, a survey was created for general industry input on each Reliability/Security Guideline that is currently posted. As you review and read a Reliability/Security Guideline, please complete this short survey to assist in gathering effectiveness data. The survey for each Reliability/Security Guideline can be found on the [RSTC Approved Documents](#) page on the NERC website.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
October 1, 2022	CIP-005-7 – Cyber Security – Electronic Security Perimeter(s) CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-2 – Cyber Security – Supply Chain Risk Management
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
April 1, 2023	EOP-011-2 – Emergency Preparedness and Operations
	IRO-010-4 – Reliability Coordinator Data Specification and Collection
	TOP-003-5 – Operational Reliability Data
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training CIP-011-3 – Cyber Security - Information Protection
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
April 1, 2024	FAC-003-5 – Transmission Vegetation Management
	FAC-011-4 – System Operating Limits Methodology for the Operations Horizon
	FAC-014-3 – Establish and Communicate System Operating Limits
	IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments
	PRC-023-5 – Transmission Relay Loadability Implementation Plan
	PRC-002-3 – Disturbance Monitoring and Reporting Requirements Implementation Plan
	PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan
TOP-001-6 – Transmission Operations	

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On August 26, 2022, NERC and the Regional Entities submitted to FERC [comments](#) on the Notice of Proposed Rulemaking (NOPR) regarding Transmission System Planning Performance Requirements for Extreme Weather.

On August 23, 2022, NERC submitted to FERC its annual [Business Plan and Budget filing](#). The filing requests acceptance of the 2023 Business Plans and Budgets of NERC, the six Regional Entities, and the Western Interconnection Regional Advisory Body, and approval of the proposed assessments to fund the 2023 budgets.

You can find all filings to FERC for 2022 on our website [here](#).

NEW FERC Issuances

On August 25, 2022, FERC issued an [order](#) approving revisions to Rules of Procedure regarding Reliability Standards.

You can find all issuances from FERC for 2022 on our website [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- **UPDATED** Monitoring and Situational Awareness Technical Conference Session 1 – September 22, 2022 | [Register](#) | [Agenda](#)
- **UPDATED** Monitoring and Situational Awareness Technical Conference Session 2 – October 6, 2022 | [Register](#) | [Agenda](#)
- GridSecCon 2022 – October 18–19, 2022 | [Register](#)
- **UPDATED** Monitoring and Situational Awareness Technical Conference Session 3 – October 20, 2022 | [Register](#) | [Agenda](#)
- **UPDATED** [EPRI–NATF–NERC 2022 Annual Transmission Planning and Modeling Workshop](#) – November 2–3, 2022 | [Day 1 Registration](#) | [Day 2 Registration](#)

Webinars

- Preparation for Severe Cold Weather Webinar – 1:00–4:30 p.m. Eastern, September 1, 2022 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Reliability and Security Technical Committee Meeting – September 13–14, 2022 | [Day 1 Registration](#) | [Day 2 Registration](#)
- Standards Committee Special Call – 3:00–4:00 p.m. Eastern, September 14, 2022 | [Register](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Central, September 21, 2022, Austin | [Register](#)

Standard Drafting Team Meetings

- **NEW** Project 2021-01 – Modifications to MOD-025 and PRC-019 Drafting Team Meeting – 12:00–3:00 p.m. Eastern, August 29, 2022 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, August 29, 2022 | [Register](#)
- **NEW** Project 2019-04 – Modifications to PRC-005-6 Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, August 29, 2022 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Meeting – 2:00–4:00 p.m. Eastern, August 29, 2022 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 2:00–4:00 p.m. Eastern, August 30, 2022 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Standard Drafting Team Meeting – 9:30–11:30 a.m. Eastern, August 31, 2022 | [Register](#)
- Project 2021-04 – Modifications to PRC-002 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, August 31, 2022 | [Register](#)

- **NEW** Project 2021-01 – Modifications to MOD-025 and PRC-019 Drafting Team Meeting – 12:00–3:00 p.m. Eastern, September 1, 2022 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Standard Drafting Team Meeting – 1:30–3:30 p.m. Eastern, September 6, 2022 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.