

# Standards, Compliance, and Enforcement Bulletin

December 5–11, 2022

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 1	<a href="#">Initial Ballots and Non-binding Polls</a>	12/06/22	12/15/22
<b>DEADLINE EXTENDED</b> <a href="#">Project 2021-02 Modifications to VAR-002-4.1</a>   Draft 1	<a href="#">Initial Ballots and Non-binding Poll</a>	12/09/22	<b>01/13/23</b>
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 2	<a href="#">Additional Ballots and Non-binding Polls</a>	01/09/23	01/18/23

### Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment

Project	Action	Start Date	End Date
<a href="#">Project 2021-06 – Modifications to IRO-010 and TOP-003</a>   Draft 1	<a href="#">Comment Period</a>	10/25/22	12/15/22
<a href="#">Project 2021-03 – CIP-002 Transmission Owner Control Centers</a>   Standard Authorization Requests	<a href="#">Comment Period</a>	11/22/22	12/21/22
<b>DEADLINE EXTENDED</b> <a href="#">Project 2021-02 Modifications to VAR-002-4.1</a>   Draft 1	<a href="#">Comment Period</a>	10/31/22	<b>01/13/23</b>
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 2	<a href="#">Comment Period</a>	11/21/22	01/18/23

Posted for Comment			
Posting	Action	Start Date	End Date
<b>DEADLINE EXTENDED</b> <b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC pre-approved posting of the <a href="#">Draft Reliability Guideline: Gas and Electrical Operational Coordination Considerations</a> for industry comment. The <a href="#">redline version</a> is also available.	Please submit comments via email using the <a href="#">comment form</a> .	11/17/22	<b>01/09/23</b>
<b>DEADLINE EXTENDED</b> <b>Comment Period Open for Draft Reliability Guideline:</b> The Reliability and Security Technical Committee (RSTC) pre-approved posting of the <a href="#">Draft Reliability Guideline: Cyber Intrusion Guide for System Operators: Version 2</a> for 45-day industry comment. The <a href="#">redline version</a> is also available.	Please submit comments via email using the <a href="#">comment form</a> .	11/18/22	<b>01/09/23</b>

**STANDARDS NEWS**

**NEW Project 2020-04 – Modifications to CIP-012 | Additional Ballots and Non-Binding Poll Results**

The additional ballots, along with the non-binding polls of the associated Violation Risk Factors and Violation Severity Levels, were extended to reach quorum and concluded at 8 p.m. Eastern, Tuesday, November 29, 2022 for the following standard and implementation plan:

- CIP-012-2 – Cyber Security – Communications between Control Centers
- Implementation Plan

Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results. The drafting team will review all responses received during the comment period and determine the next steps of the project.

**Quick Links**

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

	<b>Ballot</b>	<b>Non-binding Poll</b>
<b>Standard</b>	<b>Quorum / Approval</b>	<b>Quorum / Supportive Opinions</b>
CIP-012-2	78.84% / 57.87%	77.86% / 62.07%
Implementation	78.13% / 71.28%	N/A

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

### Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project](#)

## OTHER NEWS

### **NEW NERC News Published**

NERC's monthly newsletter is now available on the website for [November](#). Highlights in this edition include:

- ERO Executive Spotlight – Janet Sena, Senior Vice President, External Affairs, NERC
- NERC Headlines
- NERC Activities
- NERC Filings
- ERO Enterprise Events
- Careers At NERC

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

**U.S. Effective Dates for Reliability Standards**

U.S. Effective Date	Standard(s)
January 1, 2023	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
April 1, 2023	<a href="#">EOP-011-2 – Emergency Preparedness and Operations</a>
	<a href="#">IRO-010-4 – Reliability Coordinator Data Specification and Collection</a>
	<a href="#">TOP-003-5 – Operational Reliability Data</a>
July 1, 2023	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
January 1, 2024	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a>
	<a href="#">CIP-011-3 – Cyber Security - Information Protection</a>
	<b>NEWLY ADDED</b> <a href="#">FAC-001-4 – Facility Interconnection Requirements</a>   <a href="#">Implementation Plan</a>
	<b>NEWLY ADDED</b> <a href="#">FAC-002-4 – Facility Interconnection Studies</a>   <a href="#">Implementation Plan</a>
April 1, 2024	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
	<a href="#">FAC-003-5 – Transmission Vegetation Management</a>
	<a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a>
	<a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a>
	<a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a>
	<a href="#">PRC-023-5 – Transmission Relay Loadability</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-002-3 – Disturbance Monitoring and Reporting Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-026-2 – Relay Performance During Stable Power Swings</a>   <a href="#">Implementation Plan</a>
	<a href="#">TOP-001-6 – Transmission Operations</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW NERC Filings**

On December 1, 2022, NERC submitted its [2022 Frequency Response Annual Analysis \(FRAA\) report](#) for the administration and support of Reliability Standard BAL-003-2 – Frequency Response and Frequency Bias Setting.

On November 30, 2022, NERC submitted to FERC its [2023–2025 Reliability Standards Development Plan \(RSDP\)](#). This informational filing provides a status update on active development projects and a forecast of future work to be undertaken by NERC and its stakeholders throughout the upcoming year.

You can find all of NERC’s filings to FERC for 2022 on our website [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- Distributed Energy Resources Virtual Workshop – 11:00 a.m.–5:00 p.m. Eastern, December 14, 2022 | [Register](#)

### **Webinars**

- Secure Evidence Locker (SEL) Data Handling Guidance and Align Oversight Webinar – 1:00–2:00 p.m. Eastern, December 5, 2022 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Webinar – 1:30–3:30 p.m. Eastern, December 6, 2022 | [Register](#)
- Failure Modes and Mechanisms Webinar – 12:00–1:00 p.m. Eastern, December 15, 2022 | [Register](#)

### **Standing Committee Meetings and Conference Calls**

- Reliability and Security Technical Committee Meetings – December 6–7, 2022 | [Day 1 Agenda Package](#) | [Day 1 Registration](#) | [Day 2 Agenda Package](#) | [Day 2 Registration](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 13, 2022 |

- **NEW** Standards Committee Special Call – 12:00–1:00 p.m. Eastern, January 12, 2023 | [Register](#)

### **Standard Drafting Team Meetings**

- Project 2020-04 – Modifications to CIP-012 Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 5, 2022 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 6, 2022 | [Register](#)
- Project 2022-03 – Energy Assurance with Energy-Constrained Resources SAR Drafting Team Meeting – 2:00–4:00 p.m. Eastern, December 6, 2022 | [Register](#)
- Project 2020-04 – Modifications to CIP-012 Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 7, 2022 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 8, 2022 | [Register](#)
- Project 2021-05 – Modifications to PRC-023 Standard Drafting Team Meeting – December 14–15, 2022, Atlanta | [Register \(In-Person Only\)](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, December 15, 2022 | [Register](#)
- Project 2022-02 – Modifications to TPL-001 and MOD-032 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, December 15, 2022 | [Register](#)

### **ERO Enterprise Events**

- **TEXAS RE** Talk with Texas RE: Best Practices for Certification and Certification Review Activities – 1:30–2:30 p.m. Central, December 8, 2022 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:Amy.Klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.