

# Standards, Compliance, and Enforcement Bulletin

December 27, 2022–January 2, 2023

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2021-02 Modifications to VAR-002-4.1</a>   Draft 1	<a href="#">Initial Ballots and Non-binding Poll</a>	12/09/22	01/13/23
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 2	<a href="#">Additional Ballots and Non-binding Polls</a>	01/09/23	01/18/23

### Join Ballot Pools

Project	Action	Start Date	End Date
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### Posted for Comment

Project	Action	Start Date	End Date
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<a href="#">Project 2021-02 Modifications to VAR-002-4.1</a>   Draft 1	<a href="#">Comment Period</a>	10/31/22	01/13/23
<a href="#">Project 2020-06 – Verifications of Models and Data for Generators</a>   Draft 2	<a href="#">Comment Period</a>	11/21/22	01/18/23

Posted for Comment			
Posting	Action	Start Date	End Date
<b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC pre-approved posting of the <a href="#">Draft Reliability Guideline: Gas and Electrical Operational Coordination Considerations</a> for industry comment. The <a href="#">redline version</a> is also available.	Please submit comments via email using the <a href="#">comment form</a> .	11/17/22	01/09/23
<b>Comment Period Open for Draft Reliability Guideline:</b> The Reliability and Security Technical Committee (RSTC) pre-approved posting of the <a href="#">Draft Reliability Guideline: Cyber Intrusion Guide for System Operators: Version 2</a> for 45-day industry comment. The <a href="#">redline version</a> is also available.	Please submit comments via email using the <a href="#">comment form</a> .	11/18/22	01/09/23
<b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC pre-approved posting of the <a href="#">Draft Reliability Guideline: Generating Unit Winter Weather Readiness</a> for industry comment.	Please submit comments via email using the <a href="#">comment form</a> .	12/14/22	01/27/23
<b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC pre-approved posting of the <a href="#">Draft Reliability Guideline: DER Data Collection and Model Verification of Aggregate DER</a> for industry comment.	Please submit comments via email using the <a href="#">comment form</a> .	12/16/22	02/06/23

**STANDARDS NEWS**

**NEW Initial Ballot and Non-binding Poll Results for Project 2021-06 – Modifications to IRO-010 and TOP-003**

The initial ballots and non-binding polls of the associated Violation Risk Factors and Violation Severity Levels concluded December 15, 2022 for the following:

- IRO-010-5 – Reliability Coordinator Data Specification and Collection
- TOP-003-6 – Transmission Operator and Balancing Authority Data and Information Specification and Collection
- Implementation Plan

**Quick Links**

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page.

	<b>Ballot</b>	<b>Non-binding Poll</b>
<b>Standard</b>	<b>Quorum/Approval</b>	<b>Quorum/Supportive Opinions</b>
IRO-010-5	88.36% / 52.32%	86.38% / 52.38%
TOP-003-6	88.36% / 51.26%	86.23% / 52.66%
Implementation Plan	88.93% / 61.14%	N/A

The drafting team will review all responses received during the comment period and determine the next steps of the project. For information on the Standards Development Process, refer to the [Standard Processes Manual](#).

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Newly Effective Standard Requirements**

On January 1, 2023, Requirements R3, R4, 4.1. 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1 of [TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events](#) became effective.

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions Align Project](#)

## STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2023 <b>NEWLY EFFECTIVE</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
April 1, 2023	<a href="#">EOP-011-2 – Emergency Preparedness and Operations</a>
	<a href="#">IRO-010-4 – Reliability Coordinator Data Specification and Collection</a>
	<a href="#">TOP-003-5 – Operational Reliability Data</a>
July 1, 2023	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
January 1, 2024	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a>
	<a href="#">CIP-011-3 – Cyber Security - Information Protection</a>
	<a href="#">FAC-001-4 – Facility Interconnection Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">FAC-002-4 – Facility Interconnection Studies</a>   <a href="#">Implementation Plan</a>
April 1, 2024	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
	<a href="#">FAC-003-5 – Transmission Vegetation Management</a>
	<a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a>
	<a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a>
	<a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a>
	<a href="#">PRC-023-5 – Transmission Relay Loadability</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-002-3 – Disturbance Monitoring and Reporting Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-026-2 – Relay Performance During Stable Power Swings</a>   <a href="#">Implementation Plan</a>
<a href="#">TOP-001-6 – Transmission Operations</a>	

## BOARD OF TRUSTEES AND FERC ACTION

There is no Board or FERC action to report for this week.

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

There are no workshops or conferences currently scheduled.

### Webinars

There are no webinars currently scheduled.

### Standing Committee Meetings and Conference Calls

- Standards Committee Special Call – 12:00–1:00 p.m. Eastern, January 12, 2023 | [Register](#)
- **NEW** Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 3:00–4:00 p.m. Eastern, January 18, 2023 | [Register](#)

### Standard Drafting Team Meetings

- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, January 3, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, January 5, 2023 | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, January 10, 2023 | [Register](#)
- Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, January 10, 2023 | [Register](#)
- Project 2022-02 Modifications to TPL-001-5.1 and MOD-032-1 Standard Drafting Team Meeting – January 11–12, 2023, Atlanta | [Register](#)
- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–2:30 p.m. Eastern, January 12, 2023 | [Register](#)

- Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting –January 18–20, 2023, Tucson, Arizona | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.