

# Standards, Compliance, and Enforcement Bulletin

January 30–February 5, 2023

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Standard Processes Manual Revisions to Address SPSEG Recommendations</a>	<a href="#">Initial Ballots and Non-binding Polls</a>	02/24/23	03/6/23

### Join Ballot Pools

Project	Action	Start Date	End Date
<a href="#">Standard Processes Manual Revisions to Address SPSEG Recommendations</a>	<a href="#">Join Ballot Pools</a>	01/18/23	02/16/23

### Posted for Comment

Project	Action	Start Date	End Date
---------	--------	------------	----------

<a href="#">Standard Processes Manual Revisions to Address SPSEG Recommendations</a>	<a href="#">Comment Period</a>	01/18/23	03/06/23
--	--------------------------------	----------	----------

Posted for Comment			
Posting	Action	Start Date	End Date
<b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC pre-approved posting of the <a href="#">Draft Reliability Guideline: DER Data Collection and Model Verification of Aggregate DER</a> for industry comment.	Please submit comments via email using the <a href="#">comment form</a> .	12/16/22	02/06/23
<b>Comment Period Open for Draft Reliability Guideline:</b> The RSTC approved posting of the <a href="#">Draft Reliability Guideline: Electromagnetic Transient Modeling for BPS-Connected Inverter-Based Resources – Requirements and Verification Practices</a> for industry comment. The draft guideline provides recommendations for the development of EMT modeling requirements, model 148 quality checks, and verification practices specifically for EMT models used to represent BPS-connected inverter-based 149 resources in reliability studies conducted by TPs and PCs. The guidance presented here is intended specifically to help 150 ensure that EMT models provided by GOs are representative of the expected behavior of the actual or planned facility to the greatest extent possible. This guideline also provides insights on screening considerations to determine when 152 EMT studies are needed, when and how to assess ride-through performance, and resourcing to prepare for increased 153 workload. This guideline focuses specifically on plant models (i.e., a combination of system components and unit-154 level models provided by inverter and plant-level controller manufacturers to represent the expected behavior of 155 equipment to be (or currently) installed in the field).	Please submit comments via email using the <a href="#">comment form</a> .	01/06/23	02/20/23
<b>Comment Period Open for Proposed Revisions to the NERC Rules of Procedure:</b> NERC is proposing a series of revisions to Section 300 (Reliability Standards Development) and Appendix 3A (Standard Processes Manual) to its Rules of Procedure. The proposed revisions were developed by the Standards Process Stakeholder Engagement Group to improve the agility of NERC's standard	<b><u>Proposed Changes to Section 300 of the Rules of Procedure:</u></b> The proposed changes to Section 300 of the Rules of Procedure are posted for public	01/18/23	03/06/18

<p>development processes to address urgent reliability needs with appropriate agility, while also maintaining reasonable notice and opportunity for public comment, due process, openness, and balance of interests. More information on this project is available on the <a href="#">SPSEG Recommendations page</a>.</p> <p>NERC requests comments on the proposed revisions to the NERC Rules of Procedure. Given the related nature of the changes, the changes to Section 300 and Appendix 3A are being posted concurrently. Due to the different procedural requirements for approval, <b>commenters must submit comments on the proposed changes to Section 300 and Appendix 3A separately.</b></p> <p>Redlined versions of NERC Rules of Procedure, title(s) of proposed revised ROP section(s) and/or appendices showing the proposed revisions are now available at: <a href="#">NERC Rules of Procedure</a> and <a href="#">Standard Process Stakeholder Engagement Group Recommendations</a>. In addition, the posting includes a separate document that provides a detailed summary of the proposed revisions <a href="#">here</a>.</p> <p>NERC intends to submit these changes to the NERC Board of Trustees for its consideration in the first half of 2023.</p>	<p>comment from January 18, 2023 through March 6, 2023. Comments must be submitted electronically to <a href="mailto:ROPcomments@nerc.net">ROPcomments@nerc.net</a>.</p> <p><b><u>Proposed Changes to Appendix 3A, Standard Processes Manual</u></b></p> <p>The proposed changes to the Standard Processes Manual are posted for a formal public <a href="#">comment period</a> from January 18, 2023 through March 6, 2023. Members of the Registered Ballot Body can join the ballot pool during the first 30 days of the public comment period; the initial ballot will take place during the last 10 days of the comment period. All comments on the proposed changes to the Standard Processes Manual must be submitted through the NERC <a href="#">Standards Balloting System</a>.</p>	
--	---	--

**STANDARDS NEWS**

**NEW Final Ballot Results for Project 2021-05 – Modifications to PRC-023**

The final ballot for PRC-023-6 - Transmission Relay Loadability, as well as the implementation plan, concluded January 24, 2023. Voting statistics are listed below, and the [Ballot Results](#) page provides the detailed results. The standard will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities.

Ballot Results	
Standard	Quorum / Approval
PRC-023-6	87.96% / 98.27%
Implementation Plan	87.91% / 100%

**Quick Links**

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

**NEW Project 2021-03 – CIP-002 Transmission Owner Control Center Field Test Final Report Released**

The CIP-002 Transmission Owner Control Centers (TOCC) standard drafting team (SDT) was formed to further evaluate the adequacy of CIP-002-5.1a, Attachment 1, Criterion 2.12, in respect to identifying Control Centers used to perform the functional obligations of the Transmission Operator (TOP) that are not otherwise included in high impact rating. The SDT developed a TOCC Field Test including a series of questionnaires provided to the participants with the ultimate intention of determining whether there is adequate technical justification to modify CIP-002 such that BES Cyber Assets at TOP and TOCCs can be classified as low impact without exposing the BES to unacceptable increased risk. The [Project 2021-03 CIP-002 Transmission Owner Control Center Field Test Final Report](#) is also available on the [project page](#).

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Revised ERO Enterprise CIP Evidence Request Tool Posted**

NERC posted a revised [ERO Enterprise CIP Evidence Request Tool](#) (ERT), which is a common request for information tool for CIP Compliance monitoring engagements. The purpose of the CIP ERT is to help the ERO Enterprise with consistency and transparency in its audit approach. It will also help responsible entities (especially those that operate in multiple regions) fulfill these requests more efficiently, by understanding what types of evidence are useful in preparation for an audit.

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions Align Project](#)

## OTHER NEWS

### **NEW Collaboration, Coordination Key to Assuring Reliability during Rapid Grid Transformation**

The Reliability Issues Steering Committee (RISC) held its biennial Reliability Leadership Summit on January 25. The summit was attended by industry experts, state and federal regulators, leaders from the U.S. and Canadian government as well as more than 250 virtual observers.

This year's day-long summit focused on reliability issues related to energy policy, security, the rapidly evolving resource mix, and technology innovation as well as their impacts on the reliable operation of the bulk power system. Mark Lauby, NERC's senior vice president and chief engineer, and Brian Slocum, RISC chair and senior vice president and chief operating officer at ITC Holdings Corp., served as hosts. The summit opened with remarks from Willie Phillips, acting chair of the Federal Energy Regulatory Commission (FERC), and featured four panels discussing matters relating to reliability, resilience, security operations, and policy. [Full Announcement](#)

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

**U.S. Effective Dates for Reliability Standards**

U.S. Effective Date	Standard(s)
<b>April 1, 2023</b>	<a href="#">EOP-011-2 – Emergency Preparedness and Operations</a>
	<a href="#">IRO-010-4 – Reliability Coordinator Data Specification and Collection</a>
	<a href="#">TOP-003-5 – Operational Reliability Data</a>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">CIP-004-7 – Cyber Security – Personnel &amp; Training</a>
	<a href="#">CIP-011-3 – Cyber Security - Information Protection</a>
	<a href="#">FAC-001-4 – Facility Interconnection Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">FAC-002-4 – Facility Interconnection Studies</a>   <a href="#">Implementation Plan</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
<b>April 1, 2024</b>	<a href="#">FAC-003-5 – Transmission Vegetation Management</a>
	<a href="#">FAC-011-4 – System Operating Limits Methodology for the Operations Horizon</a>
	<a href="#">FAC-014-3 – Establish and Communicate System Operating Limits</a>
	<a href="#">IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments</a>
	<a href="#">PRC-023-5 – Transmission Relay Loadability</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-002-3 – Disturbance Monitoring and Reporting Requirements</a>   <a href="#">Implementation Plan</a>
	<a href="#">PRC-026-2 – Relay Performance During Stable Power Swings</a>   <a href="#">Implementation Plan</a>
<a href="#">TOP-001-6 – Transmission Operations</a>	

## BOARD OF TRUSTEES AND FERC ACTION

There is no additional Board or FERC action to report for this week.

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- 2023 Reliability and Security Technical Committee Work Plan Summit –January 31–February 2, 2023, Atlanta | [Agenda](#)
- Cold Weather Preparedness Small Group Advisory Sessions | **March 2023 – Exact Date and Details Coming Soon**
- GridSecCon 2023 – October 17–20, 2023 | **Save the Date**

### Webinars

- 2023 Standard Processes Manual Revisions Webinar – 1:00–2:00 p.m. Eastern, January 31, 2023 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Compliance and Certification Committee Meeting – February 1–2, 2023 | [Day 1 Virtual Attendance Registration](#) | [Day 1 In-Person Attendance Registration](#) | [Day 2 Virtual Attendance Registration](#) | [Day 2 In-Person Attendance Registration](#) | **NEW** [Agenda](#)
- Personnel Certification Governance Committee Meeting – 8:00–9:00 a.m. Pacific, February 7, 2023 | [Register](#) | [Agenda](#)

### Standard Drafting Team Meetings

- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:30 p.m. Eastern, January 31, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:30 p.m. Eastern, February 2, 2023 | [Register](#)
- Project 2021-04 – Modifications to PRC-002 - Phase II Standard Drafting Team Meeting – 11:00 a.m.–2:00 p.m. Eastern, February 7, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:30 p.m. Eastern, February 7, 2023 | [Register](#)

- Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 2:00–4:00 p.m. Eastern, February 7, 2023 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002 Standard Drafting Team Meeting – 10:00 a.m.–2:00 p.m. Eastern, February 8, 2023 | [Register](#)
- Project 2021-04 – Modifications to PRC-002 - Phase II Standard Drafting Team Meeting – 11:00 a.m.–3:00 p.m. Eastern, February 8, 2023 | [Register](#)
- **NEW** Project 2021-07 – Extreme Cold Weather Grid Operations, Preparedness, and Coordination Standard Drafting Team Meeting – 1:00–3:30 p.m. Eastern, February 9, 2023 | [Register](#)
- Project 2021-08 – Modifications to FAC-008 Standard Drafting Team Meeting – 1:00–3:00 p.m. Eastern, February 14, 2023 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.