

Standards, Compliance, and Enforcement Bulletin

August 7–13, 2023

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2021-06 – Modifications to IRO-010 and TOP-003	Final Ballots	07/21/23	08/31/23
Project 2023-01 – EOP-004 IBR Event Reporting Draft 1	Initial Ballots and Non-binding Poll	08/30/23	09/11/23
NEW Project 2021-04 – Modifications to PRC-002 – Phase II Draft 1	Initial Ballots and Non-binding Poll	09/05/23	09/14/23

Join Ballot Pools

Project	Action	Start Date	End Date
Project 2023-01 – EOP-004 IBR Event Reporting Draft 1	Join Ballot Pools	07/28/23	08/28/23
NEW Project 2021-04 – Modifications to PRC-002 – Phase II Draft 1	Join Ballot Pools	08/01/23	08/30/23

Posted for Comment

Project	Action	Start Date	End Date
Project 2021-03 – CIP-002 SAR	Comment Period	07/20/23	08/18/23
2024–2026 Reliability Standards Development Plan (RSDP) Draft	Comment Period	07/24/23	08/22/23
Project 2023-06 – CIP-014 Risk Assessment Refinement SAR	Comment Period	07/26/23	08/24/23
Project 2023-01 – EOP-004 IBR Event Reporting Draft 1	Comment Period	07/28/23	09/11/23
NEW Project 2021-04 – Modifications to PRC-002 – Phase II Draft 1	Comment Period	08/01/23	09/14/23

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Fuel Assurance and Fuel-Related Reliability Risk Analysis for the Bulk Power System Draft Reliability Guideline: The purpose of this draft reliability guideline is to ensure registered entities have relevant information to (i) plan for sufficient fuel to serve load and have modelled contingencies for both short-term operational horizons to long-term planning timeframes, (ii) fully understand fuel supply chain risks, and (iii) offer additional conditions and constraints, especially during extreme events, to consider when performing studies. This reliability guideline may inform potential scenario analyses (e.g., loss of fuel, compressor outages, etc.), but it is not intended to provide the environmental conditions contemplated under those studies.</p> <p>The RSTC approved posting for 45-day industry comment period. A draft version of the guideline has been posted on the Reliability Guidelines, Security Guidelines, Technical Reference Documents, and White Papers page.</p>	Please submit comments via email using the comment form .	06/30/23	08/14/23

STANDARDS NEWS

NEW Final Ballot Results for Project 2021-06 – Modifications to IRO-010 and TOP-003

Final ballots concluded 8:00 p.m. Eastern, Monday, July 31, 2023 for the following:

- IRO-010-5 – Reliability Coordinator Data Specification and Collection
- TOP-003-6 – Transmission Operator and Balancing Authority Data and Information Specification and Collection
- Implementation Plan

The voting statistics are listed below, and the details can be accessed on the [Ballot Results](#) page. The standards will be submitted to the Board of Trustees for adoption and then filed with the appropriate regulatory authorities.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2021–2023 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

Ballot Results	
Standard	Quorum / Approval
IRO-010-5	84.59% / 76.36%
TOP-003-6	85.27% / 75.41%
Implementation Plan	85.12% / 79.05%

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Planned Maintenance

The ERO Enterprise has scheduled the Align system to be down for planned maintenance. The Align system will be down for scheduled maintenance starting at 6:00 p.m. Eastern (3:00 p.m. Pacific) on August 10, 2023, through 10:00 p.m. Eastern (7:00 p.m. Pacific) on August 10, 2023. This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the [Align and SEL web page](#).

NEW Compliance Oversight Plan FAQ Updated

NERC has posted an updated [Compliance Oversight Plan FAQ](#). NERC's Compliance Oversight Plan consists of the entity specific inherent and operational risks (Risk Factors & Risk Categories) and oversight strategy for a registered entity, including the list of Standard requirements for monitoring, the CMEP tool to be used, and the interval of monitoring.

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)

[ERO Enterprise Program Alignment Process](#)

[Consistency Reporting Tool \(EthicsPoint\)](#)

[Risk-Based Registration Initiative](#)

[Reliability Standard Audit Worksheets](#)

[Enforcement & Mitigation: Enforcement Actions](#)

[Align and ERO SEL](#)

OTHER NEWS

NEW NERC, ReliabilityFirst, and NPCC Release Video Highlighting ERO Enterprise Progress since the August 2003 Blackout

This month marks the 20th anniversary of the 2003 Northeast blackout, which occurred on August 14, 2003, and impacted 50 million North Americans across Michigan, Ohio, Pennsylvania, New York, and Ontario. To highlight the progress the ERO Enterprise has made, NERC, NPCC, and ReliabilityFirst collaborated on a video, "[The Grid – 20 Years of Progress Since the 2003 Northeast Blackout](#)" reflecting on the progress made since then toward a more reliable and resilient grid. [Full Announcement](#)

NEW NERC News Published

NERC's monthly newsletter is now available for [July](#). Highlights in this edition include:

- ERO Executive Spotlight – Tim Gallagher, ReliabilityFirst president and CEO
- NERC Headlines
- NERC Activities
- NERC Filings
- ERO Enterprise Events
- Careers At NERC

NEW RSTC News Bulletin Launched

We are pleased to announce our newest venture—the Reliability and Security Technical Committee (RSTC) News Bulletin. Our team has been working diligently to enhance transparency and keep the RSTC and industry informed about our ongoing efforts. With the release of this Bulletin, we are confident that it will offer a more immersive and comprehensive experience for individuals seeking information and updates on our progress. We truly believe that this initiative will have a positive impact on the industry and our stakeholders, and we are excited about the opportunities it presents.

The RSTC’s monthly [newsletter](#) is now available. Read about RSTC activities from the June meeting. Highlights in this edition include:

- Spotlight – Greg Ford, Former RSTC Chair
- Approved | Endorsed | Accepted – Agenda Items
- RSTC Activities
- Subcommittees | Working Groups | Task Forces | Quarterly Activities
- Risk Prioritization
- Reliability Guidelines

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training
	CIP-011-3 – Cyber Security - Information Protection
	FAC-001-4 – Facility Interconnection Requirements Implementation Plan
	FAC-002-4 – Facility Interconnection Studies Implementation Plan
April 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
	FAC-003-5 – Transmission Vegetation Management
	FAC-011-4 – System Operating Limits Methodology for the Operations Horizon
	FAC-014-3 – Establish and Communicate System Operating Limits
	IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments
	PRC-002-4 – Disturbance Monitoring and Reporting Requirements
	PRC-023-5 – Transmission Relay Loadability Implementation Plan
PRC-026-2 – Relay Performance During Stable Power Swings Implementation Plan	
October 1, 2024	TOP-001-6 – Transmission Operations
	EOP-012-1 – Extreme Cold Weather Preparedness and Operations (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW Third Quarter 2023 Meetings Materials Now Available

NERC’s third quarter Board of Trustees and Member Representatives Committee meetings are scheduled for August 16–17, 2023 in Ottawa, Ontario, Canada. These meetings will be held in-person, with a webcast option. The webcast option will be listen/watch only and attendees will not be able to make comments or ask questions via the webcast.

Resources for Third Quarter 2023 Board of Trustees and Board Committee Meetings		
August 16, 2023		August 17, 2023
Technology and Security Committee Agenda Package 8:30 a.m. Eastern	NERC Quarterly Technical Session Agenda Package 12:30 p.m. Eastern	Board of Trustees Agenda Package 9:00 a.m. Eastern
Compliance Committee Agenda Package 9:45 a.m. Eastern	Finance and Audit Committee Agenda Package (to be posted on August 10) 3:15 p.m. Eastern	Other: Third Quarter Input Schedule of Events
Corporate Governance and Human Resources Committee Agenda Package 11:15 a.m. Eastern	Member Representatives Committee Agenda Package 4:30 p.m. Eastern	

NEW NERC Filings

On August 4, 2023, NERC filed the attached 2nd Quarter 2023 Application for Approval of Reliability Standards with the Nova Scotia Utility and Review Board.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Joint NERC–FERC Physical Security Technical Conference – August 10, 2023, Atlanta | [In-person Registration \(at capacity\)](#) | [Webinar Registration](#) | **UPDATED** [Agenda](#)
- DOE-NERC-Oak Ridge National Laboratory Electromagnetic Transient Simulation Workshop – August 24–25, 2023 | [Register](#)
- Electromagnetic Transient Boot Camp: System Impact Assessment – 1:00–5:00 p.m. Eastern, September 14, 2023 | [Register](#)
- Monitoring and Situational Awareness Technical Conference – October 3–4, 2023, Salt Lake City, UT | **Save the Date**
- 2023 Probabilistic Analysis Forum – October 10–12, 2023, Salt Lake City, UT | **Save the Date**
- GridSecCon 2023 – October 17–20, 2023 | [Register](#) | **NEW** [Agenda](#)
- Protection System Misoperation Workshop – October 25–26, 2023, Atlanta | [Register](#) | [Draft Agenda](#)
- 2023 NERC-NATF-EPRI Annual Transmission Planning and Modeling Workshop – November 1–2, 2023 | **Save the Date**

Webinars

- Project 2023-01 – EOP-004 IBR Event Reporting – 1:00–2:30 p.m. Eastern, August 15, 2023 | [Join Webex](#)

Standing Committee Meetings and Conference Calls

- **NEW** Personnel Certification Governance Committee Meeting – 8:00–9:00 a.m. Pacific, August 8, 2023 | [Agenda](#)
- Third Quarter Board of Trustees and Member Representatives Committee Meetings – August 16–17, 2023, Ottawa, Ontario, Canada | [In-person Registration](#) | [Virtual Registration](#) | [Hotel Information](#)
- **NEW** Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, August 23, 2023 | [Register](#)

Standard Drafting Team Meetings

Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the [Standards calendar](#).

- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, August 7, 2023 | [Register](#)
- **NEW** Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 2:00–3:00 p.m. Eastern, August 7, 2023 | [Register](#)

- **NEW** Project 2020-06 – Verifications of Models and Data for Generators Drafting Team Meeting – 1:00–4:00 p.m. Eastern, August 8, 2023 | [Register](#)
- **NEW** Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 1:00–5:00 p.m. Eastern, August 8, 2023 | [Register](#)
- **NEW** Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Meeting – 11:00 a.m.–2:00 p.m. Eastern, August 10, 2023 | [Register](#)
- **NEW** Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 2:30–4:00 p.m. Eastern, August 11, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, August 14, 2023 | [Register](#)
- **NEW** Project 2023-04 – Modifications to CIP-003 Standard Drafting Team Meeting – 1:00–4:00 p.m. Eastern, August 14, 2023 | [Register](#)
- **NEW** Project 2021-02 – Modifications to VAR-002-4.1 Standard Drafting Team Meeting – 10:00 a.m.–12:00 p.m. Eastern, August 17, 2023 | [Register](#)
- **NEW** Project 2019-04 – Modifications to PRC-005-6 Standard Drafting Team Meeting – 2:00–3:00 p.m. Eastern, August 17, 2023 | [Register](#)
- Project 2017-01 – Modifications to BAL-003 Phase II Drafting Team Meeting – 8:30–11:00 a.m. Eastern, August 18, 2023 | [Register](#)
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, August 21, 2023 | [Register](#)

ERO Enterprise Events

- **Texas RE** 2023 Winter Weatherization Workshop – 9:00 a.m.–3:30 p.m. Central, September 13, 2023 | [Register](#)
- **ReliabilityFirst** 2023 ReliabilityFirst Fall Workshop – September 26–27, 2023 | [Register](#)
- **Texas RE** 2023 Fall Standards, Security, and Reliability Workshop – 9:00 a.m.–3:30 p.m. Central, October 25, 2023 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.