Standards, Compliance, and Enforcement Bulletin

August 21–27, 2023

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2023-01 – EOP-004 IBR Event Reporting |Draft 1	Initial Ballots and Non-binding Poll	08/30/23	09/11/23
Project 2021-04 – Modifications to PRC-002 – Phase II |Draft 1	Initial Ballots and Non-binding Poll	09/05/23	09/14/23

Join Ballot Pools

Project	Action	Start Date	End Date
Project 2023-01 – EOP-004 IBR Event Reporting|Draft 1	Join Ballot Pools	07/28/23	08/28/23
Project 2021-04 – Modifications to PRC-002 – Phase II|Draft 1	Join Ballot Pools	08/01/23	08/30/23

Posted for Comment

Project	Action	Start Date	End Date

RELIABILITY | RESILIENCE | SECURITY

[2024–2026 Reliability Standards Development Plan (RSDP)](#)	Draft	[Comment Period](#)	07/24/23	08/22/23
[Project 2023-06 – CIP-014 Risk Assessment Refinement](#) | SAR	[Comment Period](#)	07/26/23	08/24/23
[Project 2023-05 – Modifications to FAC-001 and FAC-002](#) | SARs	[Comment Period](#)	08/09/23	09/07/23
[Project 2023-01 – EOP-004 IBR Event Reporting](#) | Draft 1	[Comment Period](#)	07/28/23	09/11/23
[Project 2021-04 – Modifications to PRC-002 – Phase II](#) | Draft 1	[Comment Period](#)	08/01/23	09/14/23

Posted for Comment			
Posting	**Action**	**Start Date**	**End Date**
There are no other active comment periods this week.	--	--	--

STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links
[Register in the SBS](#)
[Projected Standards Posting Schedule](#)
[Project Tracking Spreadsheet](#)
[2021–2023 Reliability Standards Development Plan](#)
[Quality Review Application](#)
[One-Stop Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWs)](#)
[CIP Standards Efficiency Review](#)
[Submit Questions or Feedback](#)

NEW Compliance Guidance Update
NERC has posted one new proposed Implementation Guidance document—CIP-004-7 R6 and CIP-011-3 R1 - Cloud Solutions for BCSI (RSTC)—to the Compliance Guidance web page.

NEW Align Planned Maintenance
The ERO Enterprise has scheduled the Align system to be down for planned maintenance. The Align system will be down for scheduled maintenance starting from August 23, 2023, at 6:00 p.m. Eastern (3:00 p.m. Pacific) through August 23, 2023, at 10:00 p.m. Eastern (7:00 p.m. Pacific). This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the Align and SEL webpage.

The ERO Enterprise has scheduled the Align system to be down for planned maintenance. The Align system will be down for scheduled maintenance starting from August 26, 2023, at 10:00 p.m. Eastern (7:00 p.m. Pacific) through August 27, 2023, at 10:00 a.m. Eastern (7:00 a.m. Pacific). This planned outage only affects Align. Information regarding this outage will also be displayed on the My Align News & Update section of Align, and the Align and SEL webpage.

Align Release Notes Posted
During the August 17, 2023 maintenance outage, the Align system will be deploying modifications to correct existing functionality or to improve user experience. The modifications include but limited to the following: ability for Registered Entities to print from all the forms in the Enforcement and Mitigation modules (draft finding record, finding records, mitigation records, notification records, request for information, etc.); ability to delete risk factor questions; ability to create Periodic Data Submittal for TPL-001-5.1; update due dates for Inherent Risk Assessment Questionnaires. The Release Notes provide details on the specific changes and indicate the users affected, as well as if any training materials need to be modified. The detailed release notes can be found on the Align and SEL web page under the Release Materials section.

Quick Links
Compliance Monitoring & Enforcement Program One-Stop Shop
ERO Enterprise Program Alignment Process
Consistency Reporting Tool (EthicsPoint)
Risk-Based Registration Initiative
Reliability Standard Audit Worksheets
Enforcement & Mitigation: Enforcement Actions
Align and ERO SEL

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the U.S. Effective Dates page for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	**Standard(s)**
January 1, 2024	CIP-004-7 – Cyber Security – Personnel & Training
	CIP-011-3 – Cyber Security - Information Protection
	FAC-001-4 – Facility Interconnection Requirements	Implementation Plan
	FAC-002-4 – Facility Interconnection Studies	Implementation Plan
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
April 1, 2024	FAC-003-5 – Transmission Vegetation Management
	FAC-011-4 – System Operating Limits Methodology for the Operations Horizon
	FAC-014-3 – Establish and Communicate System Operating Limits
	IRO-008-3 – Reliability Coordinator Operational Analyses and Real-time Assessments
	PRC-002-4 – Disturbance Monitoring and Reporting Requirements
	PRC-023-5 – Transmission Relay Loadability	Implementation Plan
	PRC-026-2 – Relay Performance During Stable Power Swings	Implementation Plan
	TOP-001-6 – Transmission Operations
October 1, 2024	EOP-012-1 – Extreme Cold Weather Preparedness and Operations (Requirements 1–2 effective 4/1/28; Requirement 4 effective 10/1/29)
April 1, 2026	CIP-003-9 - Cyber Security — Security Management Controls

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On August 14, 2023, NERC submitted an unaudited report of NERC's budget-to-actual variance information for the second quarter 2023. This compliance filing is in accordance with FERC's January 16, 2013 Order, which approved a Settlement Agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit.

You can find all of NERC's filings to FERC for 2023 on our web page here.

NEW Board Approves 2024 Budget, Modernizes Committee Responsibilities; Technical Session Highlights Transmission Transfer Study

NERC's Board of Trustees concluded its August meeting in Ottawa, acting to approve the 2024 budget, enhance Board oversight of standards development, and review the approach for a major study on electricity transfer capability between transmission planning regions. Participants also commemorated the 20th anniversary of the 2003 Northeast blackout, which was commemorated by the ERO Enterprise with a video, *"The Grid – 20 Years of Progress Since the 2003 Northeast Blackout"* reflecting the ERO Enterprise's progress toward a more reliable and resilient grid that was released earlier this month. Full Announcement.

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the NERC calendar.

Workshops and Conferences
- DOE-NERC-Oak Ridge National Laboratory Electromagnetic Transient Simulation Workshop – August 24–25, 2023 | Register
- Electromagnetic Transient Boot Camp: System Impact Assessment – 1:00–5:00 p.m. Eastern, September 14, 2023 | **Registration Closed**
- Monitoring and Situational Awareness Technical Conference – October 3–4, 2023, Salt Lake City, UT | **Save the Date**
- 2023 Probabilistic Analysis Forum – October 10–12, 2023, Salt Lake City, UT | **Save the Date**
- GridSecCon 2023 – October 17–20, 2023 | Register | Agenda
- Protection System Misoperation Workshop – October 25–26, 2023, Atlanta | Register | Draft Agenda
- 2023 NERC-NATF-EPRI Annual Transmission Planning and Modeling Workshop – November 1–2, 2023 | **Save the Date**

Webinars
- Project 2021-04 – Modifications to PRC-002 - Phase II Webinar – 2:00–3:30 p.m. Eastern, August 28, 2023 | Join WebEx

Standing Committee Meetings and Conference Calls
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, August 23, 2023 | Register | **NEW** Agenda
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, September 20, 2023, Washington, D.C. | In-Person Registration | Join WebEx

Standard Drafting Team Meetings
Below are the upcoming SDT meetings for the next four weeks. For a full listing of upcoming meetings, please refer to the Standards calendar.
- Project 2020-02 – Modifications to PRC-024 (Generator Ride-through) Drafting Team Meeting – 11:00 a.m.–1:00 p.m. Eastern, August 21, 2023 | Register
- Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 1:30–3:00 p.m. Eastern, August 21, 2023 | Register
- Project 2022-05 – Modifications to CIP-008 Reporting Threshold Standard Drafting Team Meeting – 2:00–3:30 p.m. Eastern, August 30, 2023 | Register

ERO Enterprise Events

- **Texas RE** 2023 Winter Weatherization Workshop – 9:00 a.m.–3:30 p.m. Central, September 13, 2023 | [Register](#)
- **ReliabilityFirst** 2023 ReliabilityFirst Fall Workshop – September 26–27, 2023 | [Register](#)
- **Texas RE** 2023 Fall Standards, Security, and Reliability Workshop – 9:00 a.m.–3:30 p.m. Central, October 25, 2023 | [Register](#)

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email Amy Klagholz with feedback on this bulletin. The current bulletin and old bulletins are available under "Program News" on both the Standards home page and the Compliance & Enforcement home page.

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the ERO Portal. Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the Help Desk by selecting the "NERC Email Distribution List" option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the "Committee" page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you're interested in, you may request those as well. If you're interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.